

AMTECH SYSTEMS INC
Form 4
January 20, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Averick Robert M

(Last) (First) (Middle)

C/O KOKINO LLC, 201 TRESSER BOULEVARD, 3RD FLOOR

(Street)

STAMFORD, CT 06901

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
AMTECH SYSTEMS INC [ASYS]

3. Date of Earliest Transaction
(Month/Day/Year)
01/15/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Beneficial Ownership (Instr. 4)
				(A) or (D)	Amount		
				Code	V		
					Price		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Derivative Securities

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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (Right to Buy)	\$ 5.4	01/15/2016	A	6,000					(1)	01/15/2026	Common Stock	6,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Averick Robert M C/O KOKINO LLC 201 TRESSER BOULEVARD, 3RD FLOOR STAMFORD, CT 06901	X	X		

Signatures

/s/ Robert
Averick

01/20/2016

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option vests on July 15, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. solid"> **MALVERN BANCORP INC. ForWithholdFor All**

To withhold authority to vote for any individual nominee(s), mark "For All Except" and write the number(s) of the nominee(s) on the line below.

The Board of Directors recommends you vote

FOR the following: AllAllExcept

1. Election of

Directors ooo

Nominees:

01) Joseph E. Palmer, Jr.

02) Anthony C.

Wegley

03) Therese Woodman

04) John B. Yerkes, Jr.

The Board of

Directors recommends you vote FOR proposals 2, 3 and 4. ForAgainst Abstain

2. To adopt a

non-binding resolution to approve the compensation of our named executive officers.oo o

3. To approve

the 2014 Long-Term Incentive Compensation Plan.oo o

4. To ratify the appointment of BDO USA, LLP

as Malvern Bancorp's independent registered public accounting firm for the fiscal year ending September 30, 2015.00 o

NOTE: In their discretion, the Proxies are authorized to vote upon such other business as may properly come before the meeting.

The shares of Malvern Bancorp's common stock will be voted as specified. If not otherwise specified, this proxy will be voted FOR the nominees to the Board of Directors, FOR approval of the non-binding resolution to approve compensation of our named executive officers, FOR approval of the 2014 Long-Term Incentive Plan, FOR the ratification of the independent registered public accounting firm and otherwise at the discretion of the proxies. This proxy may be revoked at any time prior to the time it is voted at the Annual Meeting.

Please sign exactly as your name(s) appear(s) hereon. When signing as attorney, executor, administrator, or other fiduciary, please give full title as such. If shares are held jointly, only one holder needs to sign. If a corporation or partnership, please sign in full corporate or partnership name by authorized officer.

Signature [PLEASE SIGN WITHIN BOX] Date Signature (Joint Owners)Date

Important Notice Regarding the Availability of Proxy Materials for the Annual Meeting:

The Notice and Proxy Statement and Form 10-K are available at www.proxyvote.com.

M80466-Z64543

**MALVERN BANCORP INC.
Annual Meeting of Shareholders
February 10, 2015, 10:00 AM ET**

THIS PROXY IS SOLICITED ON BEHALF OF THE BOARD OF DIRECTORS OF MALVERN BANCORP, INC. FOR USE AT THE ANNUAL MEETING OF SHAREHOLDERS TO BE HELD ON FEBRUARY 10, 2015 AND AT ANY ADJOURNMENT THEREOF.

The undersigned hereby appoints the Board of Directors of Malvern Bancorp, Inc. or any successors thereto, as proxies, with full powers of substitution, to represent and vote, as designated below, all the shares of common stock of Malvern Bancorp, Inc. held of record by the undersigned on December 17, 2014 at the Annual Meeting of Shareholders to be held at the Sheraton Great Valley Hotel, located at 707 East Lancaster Avenue, Frazer, Pennsylvania, on Tuesday, February 10, 2015, at 10:00 a.m., Eastern Time, or at any adjournment thereof.

Continued and to be signed on reverse side

VOTE BY INTERNET - www.proxyvote.com

**MALVERN
BANCORP INC.
42 E.
LANCASTER
AVE.
PAOLI, PA 19301**

Use the Internet to transmit your voting instructions and for electronic delivery of information up until 11:59 P.M. Eastern Time the day before the cut-off date or meeting date. Have your proxy card in hand when you access the web site and follow the instructions to obtain your records and to create an electronic voting instruction form.

VOTE BY PHONE - 1-800-690-6903

Use any touch-tone telephone to transmit your voting instructions up until 11:59 P.M. Eastern Time the day before the cut-off date or meeting date. Have your proxy card in hand when you call and then follow the instructions.

VOTE BY MAIL

Mark, sign and date your proxy card and return it in the postage-paid envelope we have provided or return it to Vote Processing, c/o Broadridge, 51 Mercedes Way, Edgewood, NY 11717.

TO VOTE, MARK BLOCKS BELOW IN BLUE OR BLACK INK AS FOLLOWS:

M80467-Z64543 KEEP THIS PORTION FOR YOUR RECORDS

**THIS PROXY
CARD IS VALID**

**ONLY WHEN DETACH AND RETURN THIS PORTION ONLY
SIGNED AND
DATED.**

**MALVERN BANCORP
INC.
The Board of Directors
recommends you vote**

**For Withhold For All To withhold
All All Except authority to vote
for any individual
nominee(s), mark**

Explanation of Responses:

FOR the following:

“For All Except” and write the number(s) of the nominee(s) on the line below.

1. Election of Directors o o o

Nominees:

- 01) Joseph E. Palmer, Jr.
- 02) Anthony C. Weagley
- 03) Therese Woodman
- 04) John B. Yerkes, Jr.

The Board of Directors recommends you vote FOR proposals 2, 3 and 4.

For Against Abstain

- | | | | |
|--|---|---|---|
| 2. To adopt a non-binding resolution to approve the compensation of our named executive officers. | o | o | o |
| 3. To approve the 2014 Long-Term Incentive Compensation Plan. | o | o | o |
| 4. To ratify the appointment of BDO USA, LLP as Malvern Bancorp’s independent registered public accounting firm for the fiscal year ending September 30, 2015. | o | o | o |

NOTE: In their discretion, the Trustees are authorized to vote upon such other business as may properly come before the meeting.

The shares of Malvern Bancorp’s common stock will be voted as specified. If not otherwise specified, the shares allocated to your account will be voted FOR the nominees to the Board of Directors, FOR approval of the non-binding resolution to approve compensation of our named executive officers, FOR approval of the 2014 Long-Term Incentive Plan, FOR the ratification of the independent registered public accounting firm and otherwise at the discretion of the proxies.

Please sign exactly as your name(s) appear(s) hereon. When signing as attorney, executor, administrator, or other fiduciary, please give full title as such.

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Signature [PLEASE SIGN
WITHIN BOX]

Date

Signature
(Joint Date
Owners)

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M80468-Z64543

MALVERN BANCORP INC.
Annual Meeting of Shareholders
February 10, 2015, 10:00 AM ET

EMPLOYEES SAVINGS AND PROFIT SHARING PLAN
VOTING INSTRUCTION FORM

The undersigned hereby instructs the Trustees of the Employees Savings and Profit Sharing Plan (the 401(k) Plan) of Malvern Federal Savings Bank to vote, as designated below, all the shares of common stock of Malvern Bancorp, Inc. allocated to my 401(k) Plan account as of December 17, 2014 at the Annual Meeting of Shareholders to be held at the Sheraton Great Valley Hotel located at 707 East Lancaster Avenue, Frazer, Pennsylvania, on Tuesday, February 10, 2015, at 10:00 a.m., Eastern Time, or at any adjournment thereof.

Continued and to be signed on reverse side

VOTE BY INTERNET - www.proxyvote.com

**MALVERN
BANCORP INC.
42 E.
LANCASTER
AVE.
PAOLI, PA 19301**

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M80469-Z64543 KEEP THIS PORTION FOR YOUR RECORDS

**THIS PROXY
CARD IS VALID**

**ONLY WHEN DETACH AND RETURN THIS PORTION ONLY
SIGNED AND
DATED.**

**MALVERN BANCORP
INC.
The Board of Directors
recommends you vote**

**For Withhold For All To withhold
All All Except authority to vote
for any individual
nominee(s), mark**

Explanation of Responses:

FOR the following:

“For All Except” and write the number(s) of the nominee(s) on the line below.

1. Election of Directors o o o

Nominees:

- 01) Joseph E. Palmer, Jr.
- 02) Anthony C. Weagley
- 03) Therese Woodman
- 04) John B. Yerkes, Jr.

The Board of Directors recommends you vote FOR proposals 2, 3 and 4.

For Against Abstain

2. To adopt a non-binding resolution to approve the compensation of our named executive officers. o o o

3. To approve the 2014 Long-Term Incentive Compensation Plan. o o o

4. To ratify the appointment of BDO USA, LLP as Malvern Bancorp’s independent registered public accounting firm for the fiscal year ending September 30, 2015. o o o

NOTE: In their discretion, the Trustees are authorized to vote upon such other business as may properly come before the meeting.

The shares of Malvern Bancorp’s common stock will be voted as specified. If not otherwise specified, the shares allocated to your account will be voted FOR the nominees to the Board of Directors, FOR approval of the non-binding resolution to approve compensation of our named executive officers, FOR approval of the 2014 Long-Term Incentive Plan, FOR the ratification of the independent registered public accounting firm and otherwise at the discretion of the proxies.

Please sign exactly as your name(s) appear(s) hereon. When signing as attorney, executor, administrator, or other fiduciary, please give full title as such.

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Signature [PLEASE SIGN
WITHIN BOX]

Date

Signature
(Joint Date
Owners)

Important Notice Regarding the Availability of Proxy Materials for the Annual Meeting:

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M80470-Z64543

**MALVERN BANCORP INC.
Annual Meeting of Shareholders
February 10, 2015, 10:00 AM ET**

**EMPLOYEES STOCK OWNERSHIP PLAN
VOTING INSTRUCTION FORM**

The undersigned hereby instructs the Trustees of the Employees Stock Ownership Plan (the "ESOP") of Malvern Bancorp, Inc. to vote, as designated below, all the shares of common stock of Malvern Bancorp, Inc. allocated to my ESOP account as of December 17, 2014 at the Annual Meeting of Shareholders to be held at the Sheraton Great Valley Hotel located at 707 East Lancaster Avenue, Frazer, Pennsylvania, on Tuesday, February 10, 2015, at 10:00 a.m., Eastern Time, or at any adjournment thereof.

Continued and to be signed on reverse side