

ATHERSYS, INC / NEW  
Form 8-K  
January 11, 2019

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)  
of the Securities Exchange Act of 1934  
Date of report (Date of earliest event reported): January 11, 2019

Athersys, Inc.  
(Exact Name of Registrant as Specified in Charter)

Delaware                      001-33876      20-4864095  
(State or Other Jurisdiction) (Commission (I.R.S. Employer  
of Incorporation)              File Number) Identification No.)

3201 Carnegie Avenue, Cleveland, Ohio 44115-2634  
(Address of Principal Executive Offices) (Zip Code)  
Registrant's telephone number, including area code: (216) 431-9900  
(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))  
Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.



Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers

On January 11, 2019, the independent members of the Board of Directors of Athersys, Inc. (the “Company”), upon the recommendation of the Compensation Committee of the Board of Directors of the Company, approved the following cash bonuses to the named executive officers of the Company, based on individual performance and achievement of corporate goals in 2018:

| Name                 | Title                                                 | Award     |
|----------------------|-------------------------------------------------------|-----------|
| Gil Van Bokkelen     | Chief Executive Officer                               | \$267,300 |
| William Lehmann, Jr. | President and Chief Operating Officer                 | \$142,000 |
| John Harrington      | Executive Vice President and Chief Scientific Officer | \$141,200 |
| Laura Campbell       | Senior Vice President of Finance                      | \$81,100  |

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ATHERSYS, INC.

By: /s/ Laura K. Campbell

Name: Laura K. Campbell

Title: Senior Vice President of Finance

Date: January 11, 2019