Campbell Michael Earl Form 4 January 17, 2019

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Person

**OMB APPROVAL** 

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January 31,

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Campbell Michael Earl Issuer Symbol **EQUINIX INC [EQIX]** (Check all applicable) (Middle) (Last) (First) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify EQUINIX, INC., ONE LAGOON 01/15/2019 below) DRIVE Chief Sales Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### REDWOOD CITY, CA 94065

(City)	(State)	(Zip) Tab	ole I - Non-	Derivativ	e Secı	ırities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		sed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)	(111311. 1)	
Common Stock	01/15/2019		M	365	A	\$ 0	1,753	D	
Common Stock	01/15/2019		M	732	A	\$ 0	2,485	D	
Common Stock	01/15/2019		M	786	A	\$ 0	3,271	D	
Common Stock	01/16/2019		S <u>(1)</u>	382	D	\$ 380.4485 (2)	2,889	D	
Common Stock	01/16/2019		S <u>(1)</u>	460	D	\$ 381.5922	2,429	D	

#### Edgar Filing: Campbell Michael Earl - Form 4

\$ Common 01/16/2019  $S^{(1)}$ 120 D 382.7833 2,309 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secui (Instr
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	\$ 0	01/15/2019		A	365		(5)	<u>(6)</u>	Common Stock	365	\$
Restricted Stock Units	\$ 0	01/15/2019		M		365	<u>(5)</u>	<u>(6)</u>	Common Stock	365	\$
Restricted Stock Units	\$ 0	01/15/2019		M		732	<u>(7)</u>	<u>(6)</u>	Common Stock	732	\$
Restricted Stock Units	\$ 0	01/15/2019		M		786	<u>(8)</u>	(6)	Common Stock	786	\$

# **Reporting Owners**

Relationships Reporting Owner Name / Address Officer

Director 10% Owner

Campbell Michael Earl EQUINIX, INC. ONE LAGOON DRIVE Chief Sales Officer

Other

2 Reporting Owners

REDWOOD CITY, CA 94065

## **Signatures**

Samantha Lagocki, POA 01/17/2019

\*\*Signature of Reporting Date
Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were sold pursuant to a 10b5-1 Trading Plan in order to raise funds to pay the required withholding tax pursuant to the vesting of RSUs.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$380.06 to \$380.83, inclusive. The reporting person undertakes to provide to Equinix, Inc, any security holder of Equinix Inc, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes 3 and 4 to this Form 4.
- (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$381.13 to \$382.04 inclusive.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$382.38 to \$383.11 inclusive.
- On February 11, 2016, the reporting person was granted performance restricted stock units, the vesting of which was subject to both continued service and the degree to which a relative Total Shareholder Return target was attained for the period January 1, 2016 to December 31, 2018. The Compensation Committee certified that the payout for this award would be 102.49% (out of a possible 200%) of the target to the reporting person based on the degree to which Equinix performed against the Russell 1000 Index.
- (6) Restricted Stock Unit award expires upon reporting person's termination of services.
- Vesting is dependent upon continuous active service as an employee, consultant or director of the Company or a subsidiary of the (7) Company (Service) throughout the vesting period. The Restricted Stock Units shall vest as follows: 33.33% of the RSUs vesting on January 15, 2018 and an additional 33.33% of the RSUs vesting on January 15, 2019 and January 15, 2020.
- Vesting is dependent upon continuous active service as an employee, consultant or director of the Company or a subsidiary of the (8) Company (Service) throughout the vesting period. The Restricted Stock Units shall vest as follows: 33.33% of the RSUs vesting on January 15, 2019 and an additional 33.33% of the RSUs vesting on January 15, 2020 and January 15, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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