

## Edgar Filing: CASTLE A M &amp; CO - Form 4

CASTLE A M &amp; CO

Form 4

March 11, 2002

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                             |                                                                                                                                         |                                                       |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| <b>FORM 4</b><br><br>[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).                                                                                                                                                                                                                                                                                                                        | <b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION</b><br><br>Washington, D.C. 20549<br><br><b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP</b><br><br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 |                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                             | OMB APPROVAL<br><br><hr/> OMB Number: 3235-0287<br>Expires: December 31, 2001<br>Estimated average burden hours per response. . . . 0.5 |                                                       |
| 1. Name and Address of Reporting Person*<br><b>Wilson, Craig</b><br><br><div style="display: flex; justify-content: space-between;"> <span>(Last)</span> <span>(First)</span> <span>(Middle)</span> </div> <b>3400 North Wolf Road</b><br><br><div style="text-align: center;">(Street)</div> <b>Franklin Park, IL 60131</b><br><br><div style="display: flex; justify-content: space-between;"> <span>(City)</span> <span>(State)</span> <span>(Zip)</span> </div> | 2. Issuer Name and Ticker or Trading Symbol<br><b>A. M. Castle &amp; Co. CAS</b><br><br>3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)                                                                                                                                                                                  | 4. Statement for (Month/Year)<br><b>February 2002</b><br><br>5. If Amendment, Date of Original (Month/Year)                           | 6. Relationship of Reporting Person(s) to Issuer<br><br>(Check all applicable)<br><br><div style="display: flex; justify-content: space-between;"> <span>_____ Director _____ 10%</span> </div> Owner <input checked="" type="checkbox"/> Officer _____ Other _____<br><br>Officer/Other Description <b><u>Vice President</u></b><br><br>7. Individual or Joint/Group Filing (Check Applicable Line)<br><br><input checked="" type="checkbox"/> Individual Filing<br><input type="checkbox"/> Joint/Group Filing |                                                                             |                                                                                                                                         |                                                       |
| <b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                             |                                                                                                                                         |                                                       |
| 1. Title of Security (Instr. 3)                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2. Transaction Date (Month/Day/Year)                                                                                                                                                                                                                                                                                                                   | 3. Transaction Code and Voluntary Code (Instr. 8)<br><br><div style="border-top: 1px solid black; text-align: center;">Code   V</div> | 4. Securities Acquired (A) or Disposed (D) Of (Instr. 3, 4, and 5)<br><br><div style="border-top: 1px solid black; text-align: center;">           Amount   A/D<br/>             Price         </div>                                                                                                                                                                                                                                                                                                            | 5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4) | 6. Ownership Form: Direct(D) or Indirect (I) (Instr. 4)                                                                                 | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 02/28/2002                                                                                                                                                                                                                                                                                                                                             | R<br><br>  V                                                                                                                          | 372.0960   A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 404.3710                                                                    | I                                                                                                                                       | By PAYSOP Plan                                        |
| Common Stock                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 11,035.0000                                                                 | D                                                                                                                                       |                                                       |
| Common Stock                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 353.8350                                                                    | I                                                                                                                                       | By 401(k)                                             |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                             |                                                                                                                                         |                                                       |

 (over)  
 SEC 1474 (3-99)

## Wilson, Craig - February 2002

## Form 4 (continued)

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned<br>(e.g., puts, calls, warrants, options, convertible securities) |                                                                              |                                                         |                                                                             |                                                                                                                         |                                                                              |                                                                              |                                                       |                                                                                                      |                                                                                                         |                                                                   |
|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|---------------------------------------------------------|-----------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|------------------------------------------------------------------------------|-------------------------------------------------------|------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                                                                                             | 2. Conver-<br>sion or<br>Exercise<br>Price of<br>Deri-<br>vative<br>Security | 3. Transac-<br>tion<br>Date<br>(Month/<br>Day/<br>Year) | 4. Transac-<br>tion<br>Code<br>and<br>Voluntary<br>(V)<br>Code<br>(Instr.8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A)<br>or<br>Disposed<br>(D) Of<br><br>(Instr. 3,4<br>and 5) | 6. Date<br>Exercisable(DE) and<br>Expiration<br>Date(ED)<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and<br>4) | 8. Price<br>of<br>Derivative<br>Security<br>(Instr.5) | 9. Number of<br>Derivative<br>Securities<br>Beneficially<br>Owned<br>at End of<br>Month<br>(Instr.4) | 10. Owner-<br>ship<br>Form of<br>Deriv-<br>ative<br>Security:<br>Direct<br>(D)<br>or<br>Indirect<br>(I) | 11. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr.4) |
|                                                                                                                                                 |                                                                              |                                                         | Code I V                                                                    |                                                                                                                         | (DE)   (ED)                                                                  |                                                                              |                                                       |                                                                                                      |                                                                                                         |                                                                   |
| 1994 Stock<br>Option                                                                                                                            | \$12.07                                                                      |                                                         |                                                                             |                                                                                                                         | 07/28/1995<br>  07/28/2004                                                   | Common<br>Stock -<br>100.00                                                  |                                                       | 100.00                                                                                               | D                                                                                                       |                                                                   |
| 1996 Stock<br>Option                                                                                                                            | \$18.75                                                                      |                                                         |                                                                             |                                                                                                                         | 07/25/1997<br>  07/25/2006                                                   | Common<br>Stock -<br>2,100.00                                                |                                                       | 2,100.00                                                                                             | D                                                                                                       |                                                                   |
| 1998 Stock<br>Option                                                                                                                            | \$20.25                                                                      |                                                         |                                                                             |                                                                                                                         | 07/24/1999<br>  07/24/2008                                                   | Common<br>Stock -<br>8,100.00                                                |                                                       | 8,100.00                                                                                             | D                                                                                                       |                                                                   |
| 1999 Stock<br>Option                                                                                                                            | \$16.00                                                                      |                                                         |                                                                             |                                                                                                                         | 07/22/2000<br>  07/21/2009                                                   | Common<br>Stock -<br>8,000.00                                                |                                                       | 8,000.00                                                                                             | D                                                                                                       |                                                                   |
| 2000 Stock<br>Option                                                                                                                            | \$10.00                                                                      |                                                         |                                                                             |                                                                                                                         | 07/27/2001<br>  07/27/2010                                                   | Common<br>Stock -<br>10,000.00                                               |                                                       | 10,000.00                                                                                            | D                                                                                                       |                                                                   |
| 2001 Stock<br>Option                                                                                                                            | \$11.00                                                                      |                                                         |                                                                             |                                                                                                                         | 07/26/2002<br>  07/26/2011                                                   | Common<br>Stock -<br>10,000.00                                               |                                                       | 10,000.00                                                                                            | D                                                                                                       |                                                                   |
|                                                                                                                                                 |                                                                              |                                                         |                                                                             |                                                                                                                         |                                                                              |                                                                              |                                                       |                                                                                                      |                                                                                                         |                                                                   |

Explanation of Responses :

\*\* Intentional misstatements or omissions of facts \_\_\_\_\_  
constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).      \*\* Signature of Reporting Person  
Date

Note: File three copies of this Form, one of  
which must be manually signed. If space is  
insufficient,

**Craig Wilson**

See Instruction 6 for procedure.

Potential persons who are to respond to the  
collection of information contained in this form  
are not  
required to respond unless the form displays a  
currently valid OMB number.

Page 2  
SEC 1474 (3-99)