

Edgar Filing: TRIARC COMPANIES INC - Form 4

TRIARC COMPANIES INC

Form 4

January 10, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

Levato, Joseph A.
4 Sutton Court
Mendham,, NJ 07945
USA

2. Issuer Name and Ticker or Trading Symbol

Triarc Companies, Inc.
TRY

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

December 31, 2000

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

(X) Director () 10% Owner () Officer (give title below) () Other
(specify below)

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person
() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) Amount | A/D | Price | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------|---------|---|-----|----------|--|
| Class A Common Stock | 12/1/00 | M | 50,000 | (A) | \$18.00 | |
| Class A Common Stock | 12/1/00 | D | 50,000 | (D) | \$25.25 | |
| Class A Common Stock | 12/1/00 | M | 30,000 | (A) | \$10.75 | |
| Class A Common Stock | 12/1/00 | D | 30,000 | (D) | \$25.25 | |
| Class A Common Stock | 12/1/00 | M | 30,000 | (A) | \$10.125 | |
| Class A Common Stock | 12/1/00 | D | 30,000 | (D) | \$25.25 | 12,525 |
| | | | | | | 850 |

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Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Put or Call |
|--|--|---------------------|---------------------|--|--|--|----------------|
| Stock option (right to buy) with tandem tax withholding rights | \$18.00 | 12/1/00 | M | 50,000 | D (2) 4/24/03 | Class A Common Stock | 50,000 |
| Stock option (right to buy) with tandem tax withholding rights | \$10.75 | 12/1/00 | M | 30,000 | D (3) 11/30/04 | Class A Common Stock | 30,000 |
| Stock option (right to buy) with tandem tax withholding rights | \$10.125 | 12/1/00 | M | 30,000 | D (4) 12/7/05 | Class A Common Stock | 30,000 |

Explanation of Responses:

(1) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed to be an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or any other purpose.

(2) The option vested in three equal installments on April 24, 1994, 1995 and 1996.

(3) The option vested in three equal installments on November 30, 1994, 1995 and 1996.

(4) The option vested in three equal installments on December 7, 1996, 1997 and 1998.

SIGNATURE OF REPORTING PERSON

JOSEPH A. LEVATO

DATE

JANUARY 10, 2001