Edgar Filing: NEW JERSEY RESOURCES CORP - Form 4

NEW JERSEY RESOURCES CORP

Form 4

November 13, 2014

| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). (Print or Type Responses) OMB APPROVAL OMB Number: 3235-0287 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES FILED PROVAL OMB Number: 4 3235-0287 Saction 16. SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1940 (Print or Type Responses) | | | | | | | | | | | |
|--|--|---|-----------------|-------------------|---|--|--|---|--|---|--|
| 1. Name and A Westhoven | 2. Issuer France and French of Francis | | | | | 6. Relationship of Reporting Person(s) to ssuer (Check all applicable) | | | | | |
| (Last) C/O NEW . CORPORA ROAD | (Month/Day | B. Date of Earliest Transaction Month/Day/Year) — | | | | | Director 10% Owner Other (specify below) Senior V.P., Subsidiary | | | | |
| | | | | fonth/Day/Year) A | | | | 5. Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Table I | - Non- | Derivative Se | curitie | es Acquir | ed, Disposed of, | or Beneficiall | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | saction Date 2A. Deemed | | | 4. Securities a coor Disposed (Instr. 3, 4 and Amount | of (D) | red (A) | Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 11/11/2014 | | N | de V 1 | 1,798.251 | A | <u>(1)</u> | 26,552.276 | D | | |
| Common Stock | 11/11/2014 | | I | 3 | 618 | D | \$ 58.62 | 25,934.276 | D | | |
| Reminder: De | nort on a senarate line | e for each als | ace of cocuriti | es hen | eficially owned | direct | ly or indi | rectly | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|--|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Performance Shares | <u>(1)</u> | 11/11/2014 | | M | 1,087 | <u>(1)</u> | <u>(1)</u> | Common Stock | 1,087 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Westhoven Stephen D C/O NEW JERSEY RESOURCES CORPORATION 1415 WYCKOFF ROAD WALL, NJ 07719

Senior V.P., Subsidiary

Signatures

Rhonda M. Figueroa - Attorney-in-Fact (POA on File)

11/13/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents number of Performance Shares, which vested at 150% of the target amount (plus accrued dividends), after a 36-month period beginning on October 1, 2011, upon certification by the Leadership Development and Compensation Committee of New Jersey Resources Corporation's (NJR) performance versus the applicable performance goal. Performance Shares converted 1 for 1 into shares of NJR common stock. There is no purchase or sale price upon vesting of the Performance Shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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