## Edgar Filing: MITCHELL WILLIAM - Form 4

MITCHELL	WILLIAM									
Form 4										
October 30, 2										
FORM	<b>4 UNITE</b>	ъ статес	SECUD	ITIES AN	ID FYC		ICE	COMMISSION	т	PPROVAL
	UNITE	DSIAIE		hington, E			NGE (	COMMISSION	OMB Number:	3235-0287
Check this				8)					Expires:	January 31, 2005
if no longe subject to Section 16 Form 4 or	F CHANGES IN BENEFICIAL OWNEF SECURITIES					NERSHIP OF	HIP OF Estimated average burden hours per response			
Form 5 obligations may contin <i>See</i> Instruct 1(b).	s Section	17(a) of the	Public Uti		ng Com	pany	Act o	ge Act of 1934, f 1935 or Sectic 40		0.5
(Print or Type Ro	esponses)									
1. Name and Address of Reporting Person <u>*</u> MITCHELL WILLIAM			2. Issuer Name <b>and</b> Ticker or Trading Symbol HUMANA INC [HUM]			5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Cho				(Cne	eck all applicable)		
SEQUEL CAPITAL MANAGEMENT, LLC, 223 ATHERTON AVENUE			(Month/Day/Year) 10/26/2012			X_ Director 10% Owner Officer (give title Other (specify below) below)				
Filed(Month/Day/Year) Applica				Applicable Line)	or Joint/Group Filing(Check ne) d by One Reporting Person					
ATHERTON	I, CA 94027								More than One Re	
(City)	(State)	(Zip)	Table	I - Non-De	rivative S	ecuri	ties Ac	quired, Disposed o	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	ear) Execution any	on Date, if	Transaction	Disposed	(A) o of (D	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Humana Common								100	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of ) Derivati Securiti- Acquire (A) or Dispose of (D) (Instr. 3 4, and 5	(Month/Day ve es d d	Date	7. Title and A Underlying S (Instr. 3 and s	Securities	8. Price of Derivative Security (Instr. 5)
				Code V	V (A) (E	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Unit (1)	<u>(1)</u>					(1)	<u>(1)</u>	Humana Common	17,663	
Stock Unit (2)	(2)	10/26/2012		А	33	(2)	(2)	Humana Common	33	\$ 74.935

## **Reporting Owners**

Reporting Owner Name / Address		Relationships						
L O			10% Owner	Officer	Other			
MITCHELL WILLIAM SEQUEL CAPITAL MANAGEMENT, LLC 223 ATHERTON AVENUE ATHERTON, CA 94027		Х						
Signatures								
William E. Mitchell	10/29/2012							

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Annual Director's fee payable in stock units which have been deferred at the election of the reporting person until his resignation of (1) services as a director at which time the stock units will be payable in Humana Inc. common stock on a 1-for-1 basis, exempt pursuant to Rule 16(b)-3(d)(1).

Director's dividend payment reinvested into stock units on vested and deferred stock units, deferred in accordance with the Plan until his (2) resignation of services as a director at which time the deferred dividend stock units will be payable in Humana Inc. common stock on a 1-for-1 basis, exempt pursuant to Rule16(b)-3(d).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.