MOOG INC. Form 4 December 02, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL

Number: 3235-0287 Expires: January 31,

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BALL LAWRENCE J** Issuer Symbol MOOG INC. [MOGA/MOGB] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify 1811 MOUNTAINSIDE DRIVE 11/30/2014 below) Vice President (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

BLACKSBURG, VA 24060

(City)	(State)	Zip) Table	I - Non-D	erivative (	Secur	ities Acqu	uired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit n(A) or Di (Instr. 3,	sposed 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common	11/30/2014			2,078	· /	ф	36,774	D	
Class A Common	11/30/2014		F	373	D	\$ 72.78	36,401	D	
Class A Common	11/30/2014		M	5,396	A	\$ 28.01	41,797	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Applicable Line)

Person

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

### Edgar Filing: MOOG INC. - Form 4

#### number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Securities	ve Expiration D (Month/Day/	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to Buy	\$ 28.01	11/30/2014		M	5,39	6 (2)	11/30/2014	Class A Common	5,396	
Option to Buy	\$ 28.94					11/29/201	5 11/29/2015	Class A Common	20,250	
Option to Buy	\$ 42.45					11/26/2010	) 11/26/2017	Class A Common	20,250	
SAR (3)	\$ 36.86					<u>(4)</u>	11/30/2020	Class A Common	20,500	
SAR (3)	\$ 41.82					<u>(5)</u>	11/30/2021	Class A Common	20,500	
SAR (3)	\$ 36.41					<u>(6)</u>	11/27/2022	Class A Common	20,500	
SAR (3)	\$ 61.69					<u>(7)</u>	11/11/2023	Class A Common	10,000	
SAR (3)	\$ 74.38					<u>(8)</u>	11/11/2024	Class A Common	10,000	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BALL LAWRENCE J 1811 MOUNTAINSIDE DRIVE BLACKSBURG, VA 24060			Vice President				

Reporting Owners 2

## **Signatures**

Timothy P. Balkin, as Power of Attorney for Lawrence J.
Ball
12/02/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option to buy granted under the 1998 and/or 2003 Incentive Stock Option Plan.
- Options are exercisable as follows: 581 on 11/30/09, 3,569 on 11/30/10, 3,568 on 11/30/11, 3,568 on 11/30/12, 3,568 on 11/30/13 and 5,396 on 11/30/14.
- (3) Stock Appreciation Rights (SAR) granted under the 2008 Stock Appreciation Rights Plan.
- (4) SAR exercisable as follows: 6,834 on 11/30/11, 6,833 on 11/30/12 and 6,833 on 11/30/13.
- (5) SAR exercisable as follows: 6,834 on 11/30/12, 6,833 on 11/30/13 and 6,833 on 11/30/14.
- (6) SAR is exercisable as follows: 6,834 on 11/27/2013, 6,833 on 11/27/2014 and 6,833 on 11/27/2015.
- (7) SAR is exercisable as follows: 3,334 on 11/11/2014, 3,333 on 11/11/2015 and 3,333 on 11/11/2016.
- (8) SAR is exercisable as follows: 3,334 on 11/11/2015, 3,333 on 11/11/2016 and 3,333 on 11/11/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3