

Edgar Filing: ASHLAND INC - Form 4

ASHLAND INC  
Form 4  
March 08, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
Bokach, Peter M.  
  
5200 Blazer Parkway  
Dublin, Ohio 43017
2. Issuer Name and Ticker or Trading Symbol  
Ashland Inc.  
ASH
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year  
February 28, 2001
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
( ) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
(specify below)  
Vice President
7. Individual or Joint/Group Filing (Check Applicable Line)  
(X) Form filed by One Reporting Person  
( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D)<br>Amount | 5. Amount of Securities Beneficially Owned at End of Month<br>Price |
|----------------------|---------------------|---------|---|---|
| Common Stock         |                     |         |   | 15,970 (1)  |
| Common Stock         |                     |         |   | 260 (2)   |
| Common Stock         |                     |         |   | 16,428  |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Derivative Acquired (A) or Disposed of (D) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date | 7. Title and Amount of Underlying Securities | 8. Put or Call |
|---------------------------------|--|---------------------|---|--|---|--|----------------|
|                                 |  |                     |   |  |   | Title and Number of Shares                   |                |

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| Priority   | Date   | Code | V | Amount | Expirable | Instrument | Quantity           |
|------------|--------|------|---|--------|-----------|------------|--------------------|
| Option (3) | 30.50  |      |   |        | 9-19-92   | 10-19-01   | Common Stock 1,000 |
|            |        |      |   |        |           |            |                    |
|            | 30.50  |      |   |        | 9-19-93   | 10-19-01   | Common Stock 500   |
|            |        |      |   |        |           |            |                    |
|            | 30.50  |      |   |        | 9-19-94   | 10-19-01   | Common Stock 500   |
|            |        |      |   |        |           |            |                    |
| Option (3) | 23.875 |      |   |        | 9-21-93   | 10-21-02   | Common Stock 1,500 |
|            |        |      |   |        |           |            |                    |
|            | 23.875 |      |   |        | 9-21-94   | 10-21-02   | Common Stock 750   |
|            |        |      |   |        |           |            |                    |
|            | 23.875 |      |   |        | 9-21-95   | 10-21-02   | Common Stock 750   |
|            |        |      |   |        |           |            |                    |
| Option (4) | 33.125 |      |   |        | 9-16-94   | 10-16-03   | Common Stock 2,500 |
|            |        |      |   |        |           |            |                    |
|            | 33.125 |      |   |        | 9-16-95   | 10-16-03   | Common Stock 1,250 |
|            |        |      |   |        |           |            |                    |
|            | 33.125 |      |   |        | 9-16-96   | 10-16-03   | Common Stock 1,250 |
|            |        |      |   |        |           |            |                    |
| Option (5) | 35.875 |      |   |        | 9-15-95   | 10-15-04   | Common Stock 2,500 |
|            |        |      |   |        |           |            |                    |
|            | 35.875 |      |   |        | 9-15-96   | 10-15-04   | Common Stock 1,250 |
|            |        |      |   |        |           |            |                    |
|            | 35.875 |      |   |        | 9-15-97   | 10-15-04   | Common Stock 1,250 |
|            |        |      |   |        |           |            |                    |
| Option (5) | 33.875 |      |   |        | 9-21-96   | 10-21-05   | Common Stock 2,500 |
|            |        |      |   |        |           |            |                    |
|            | 33.875 |      |   |        | 9-21-97   | 10-21-05   | Common Stock 1,250 |
|            |        |      |   |        |           |            |                    |
|            | 33.875 |      |   |        | 9-21-98   | 10-21-05   | Common Stock 1,250 |
|            |        |      |   |        |           |            |                    |
| Option (5) | 39.00  |      |   |        | 9-19-97   | 10-19-06   | Common Stock 2,500 |
|            |        |      |   |        |           |            |                    |
|            | 39.00  |      |   |        | 9-19-98   | 10-19-06   | Common Stock 1,250 |
|            |        |      |   |        |           |            |                    |
|            | 39.00  |      |   |        | 9-19-99   | 10-19-06   | Common Stock 1,250 |
|            |        |      |   |        |           |            |                    |
| Option (6) | 53.375 |      |   |        | 9-18-98   | 10-18-07   | Common Stock 2,500 |
|            |        |      |   |        |           |            |                    |
|            | 53.375 |      |   |        | 9-18-99   | 10-18-07   | Common Stock 1,250 |
|            |        |      |   |        |           |            |                    |

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|                        |         |       |   |       |  |   |       |        |              |        |      |
|------------------------|---------|-------|---|-------|--|---|-------|--------|--------------|--------|------|
|                        | 53.375  |       |   |       |  |   | 9-18- | 10-18- | Common Stock | 1,250  |      |
|                        |         |       |   |       |  |   | 00    | -07    |              |        |      |
| Option (6)             | 48.00   |       |   |       |  |   | 9-17- | 10-17- | Common Stock | 2,500  |      |
|                        |         |       |   |       |  |   | 99    | -08    |              |        |      |
|                        | 48.00   |       |   |       |  |   | 9-17- | 10-17- | Common Stock | 1,250  |      |
|                        |         |       |   |       |  |   | 00    | -08    |              |        |      |
|                        | 48.00   |       |   |       |  |   | 9-17- | 10-17- | Common Stock | 1,250  |      |
|                        |         |       |   |       |  |   | 01    | -08    |              |        |      |
| Option (6)             | 36.625  |       |   |       |  |   | 9-16- | 10-16- | Common Stock | 15,000 |      |
|                        |         |       |   |       |  |   | 00    | -09    |              |        |      |
|                        | 36.625  |       |   |       |  |   | 9-16- | 10-16- | Common Stock | 15,000 |      |
|                        |         |       |   |       |  |   | 01    | -09    |              |        |      |
|                        | 36.625  |       |   |       |  |   | 9-16- | 10-16- | Common Stock | 15,000 |      |
|                        |         |       |   |       |  |   | 02    | -09    |              |        |      |
|                        | 36.625  |       |   |       |  |   | 9-16- | 10-16- | Common Stock | 15,000 |      |
|                        |         |       |   |       |  |   | 03    | -09    |              |        |      |
| Common Stock Units (7) | 1-for-1 |       | J | V 87  |  | A |       |        | Common Stock | 87     |      |
| Common Stock Units (8) | 1-for-1 | 2-15- | I | 8,138 |  | D |       |        | Common Stock | 8,138  | \$39 |
|                        |         | 01    |   |       |  |   |       |        |              |        |      |

Explanation of Responses:

1. Shares accrued under Ashland's Leveraged Employee Stock Ownership Plan as of 2-28-01.
2. Based on Employee Savings Plan information as of 2-23-01 and includes transactions occurring on or after 12-1-00.
3. Employee stock option (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's Long-Term Incentive Plan. The employee stock option includes a tax withholding feature pursuant to the plan.
4. Employee stock option (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's Amended Stock Incentive Plan. The employee stock option includes a tax withholding feature pursuant to the plan.
5. Employee stock option (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's 1993 Stock Incentive Plan. The employee stock option includes a tax withholding feature pursuant to the plan.
6. Employee stock option (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's 1997 Stock Incentive Plan. The employee stock option includes a tax withholding feature pursuant to the plan.
7. Common Stock Units acquired pursuant to Ashland's 1995 Deferred Compensation Plan as of 2-15-01 and includes transactions on or after 11-15-00, and exempt under Rule 16b-3(d). The price of the Common Stock Units on applicable valuation dates has varied from \$31.938 - \$39.770.

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8. Intraplan transfer in Ashland's 1995 Deferred Compensation Plan.

SIGNATURE OF REPORTING PERSON

Peter M. Bokach

DATE

March 6, 2001