

OSHKOSH CORP
Form 3
October 07, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â Cortina Ignacio A		(Month/Day/Year)	OSHKOSH CORP [OSK]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
C/O OSHKOSH CORPORATION,Â 2307 OREGON STREET		10/01/2015	(Check all applicable)	
(Street)			<input type="checkbox"/> Director	<input type="checkbox"/> 10% Owner
OSHKOSH,Â WIÂ 54902			<input checked="" type="checkbox"/> Officer	<input type="checkbox"/> Other
(City)	(State)	(Zip)	(give title below) (specify below)	
			SVP, Gen. Counsel & Secretary	
			6. Individual or Joint/Group Filing(Check Applicable Line)	
			<input checked="" type="checkbox"/> Form filed by One Reporting Person	
			<input type="checkbox"/> Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	5,796.865	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Options ⁽¹⁾	Â ⁽²⁾	10/17/2017	Common Stock	4,000	\$ 54.63	D	Â
Options ⁽¹⁾	Â ⁽³⁾	09/14/2016	Common Stock	10,000	\$ 32.1	D	Â
Options ⁽¹⁾	Â ⁽⁴⁾	09/17/2019	Common Stock	5,000	\$ 28.96	D	Â
Options ⁽¹⁾	Â ⁽⁵⁾	09/16/2020	Common Stock	2,600	\$ 47.33	D	Â
Options ⁽¹⁾	Â ⁽⁶⁾	09/15/2021	Common Stock	4,525	\$ 46.94	D	Â
Stock Appreciation Right ⁽⁷⁾	Â ⁽⁸⁾	09/19/2018	Common Stock	10,000	\$ 19.24	D	Â
Restricted Stock Unit Award ⁽⁹⁾	Â ⁽¹⁰⁾	09/16/2016	Common Stock	787.148	\$ 0	D	Â
Restricted Stock Unit Award ⁽⁹⁾	Â ⁽¹¹⁾	09/15/2017	Common Stock	1,657.876	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Cortina Ignacio A C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902	Â	Â	Â SVP, Gen. Counsel & Secretary	Â

Signatures

Ignacio A. Cortina 10/07/2015

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option (right to buy) granted pursuant to the Company's Stock Plan.
- (2) Options vest in one-third (1/3) annual increments commencing on 09/17/2008.
- (3) Options vest in one-third (1/3) annual increments commencing on 09/14/2010.
- (4) Options vest in one-third (1/3) annual increments commencing on 9/17/2013.
- (5) Options vest in one-third (1/3) annual increments commencing on 9/16/2014.

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- (6) Options vest in one-third (1/3) annual increments commencing on 9/15/2015.
- (7) Stock Appreciation Rights Award granted pursuant to the Company's Stock Plan.
- (8) Stock Appreciation Rights Award vests in one-third (1/3) annual increments commencing on 9/19/2012.
- (9) Each Restricted Stock Unit represents a contingent right to receive one share of OSK common stock.
- (10) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 9/16/2014.
- (11) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 9/15/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.