

OCCIDENTAL PETROLEUM CORP /DE/

Form 3

July 16, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Kirk Jennifer M

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

07/10/2014

3. Issuer Name and Ticker or Trading Symbol

OCCIDENTAL PETROLEUM CORP /DE/ [OXY]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Vice President and Controller

OCCIDENTAL PETROLEUM CORPORATION, 5 GREENWAY PLAZA, SUITE 110

(Street)

HOUSTON, TX 77046

(City)

(State)

(Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

237

D

A

Common Stock

1,061.08

I

By OPC Savings Plan

Common Stock

210

I

By Spouse

Common Stock

924.95

I

By Spouse - OPC Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: OCCIDENTAL PETROLEUM CORP /DE/ - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Incentive Award	Â (1)	Â (1)	Common Stock	1,360	\$ 0 D Â
Long-Term Incentive Units	Â (2)	Â (2)	Common Stock	473	\$ 0 D Â
Long-Term Incentive Units	Â (3)	Â (3)	Common Stock	638	\$ 0 I By Spouse
Long-Term Incentive Units	Â (4)	Â (4)	Common Stock	440	\$ 0 I By Spouse
Long-Term Incentive Units	Â (5)	Â (5)	Common Stock	197	\$ 0 I By Spouse

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kirk Jennifer M OCCIDENTAL PETROLEUM CORPORATION 5 GREENWAY PLAZA, SUITE 110 HOUSTON, TX 77046	Â	Â	Â Vice President and Controller	Â

Signatures

/s/ Christel H. Pauli, Attorney-in-Fact for Jennifer M. Kirk

07/16/2014

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) On July 22, 2013, the reporting person was granted 1,360 restricted stock incentive units, vesting in three equal installments beginning on the first anniversary of the grant date.
- (2) On July 11, 2012, the reporting person was granted 1,419 long-term incentive units, vesting in three equal installments beginning on the first anniversary of the grant date. The award is paid out 50% in stock and 50% in cash.
- (3) On July 9, 2014, the reporting person's spouse was granted 638 long-term incentive units, vesting in three equal installments beginning on the first anniversary of the grant date. The award is paid out 50% in stock and 50% in cash.
- (4) On July 10, 2013, the reporting person's spouse was granted 661 long-term incentive units, vesting in three equal installments beginning on the first anniversary of the grant date. The award is paid out 50% in stock and 50% in cash.
- (5) On July 11, 2012, the reporting person's spouse was granted 592 long-term incentive units, vesting in three equal installments beginning on the first anniversary of the grant date. The award is paid out 50% in stock and 50% in cash.

Edgar Filing: OCCIDENTAL PETROLEUM CORP /DE/ - Form 3

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.