BROWNLIE WILLIAM R

Form 4 April 03, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

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OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * BROWNLIE WILLIAM R | | | 2. Issuer Name and Ticker or Trading Symbol TETRA TECH INC [TTEK] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | |
|--|---------|----------|---|--|--|--|
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | (Check an applicable) | | |
| 3475 EAST FOOTHILL BOULEVARD | | | (Month/Day/Year) 04/01/2019 | Director 10% OwnerX Officer (give title Other (specify below) SVP, Chief Engineer | | |
| (Street) | | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | |
| PASADENA,, CA 91107 | | | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | |

| (City) | (State) (Z | ip) Table | I - Non-De | rivative Se | ecuriti | es Acqui | red, Disposed of, | or Beneficiall | y Owned |
|--------------------------------------|---|---|--|---|---------|-------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securit on(A) or Di (Instr. 3, | sposed | of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| COMMON STOCK | 04/01/2019 | | M | 16,750 | A | \$ 22.53 | 99,846 (1) | D | |
| COMMON STOCK | 04/01/2019 | | S(2) | 16,750 | D | \$ 60 | 83,096 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | rivative Date purities (Month/Day/Year) quired (A) Disposed of str. 3, 4, | | 7. Title and Amou Underlying Securi (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|--|---|-----------------------|--|--------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | A or N |
| STOCK OPTION (RIGHT TO BUY) | \$ 22.53 | 04/01/2019 | | M | 16,750 | 11/11/2012 <u>(3)</u> | 11/11/2019 <u>(4)</u> | COMMON STOCK | 1 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BROWNLIE WILLIAM R 3475 EAST FOOTHILL BOULEVARD PASADENA,, CA 91107

SVP, Chief Engineer

Signatures

/s/ Preston Hopson III, Attorney-in-fact for William R.

Brownlie 04/03/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amount of securities beneficially owned has been updated to reflect the acquisition of 107 shares of common stock pursuant to the Tetra Tech, Inc. Employee Stock Purchase Plan (ESPP) on December 14, 2018.
- (2) Pursuant to a 10b5-1 Plan.
- (3) The option became exercisable as to 25% of the shares on each anniversary of the grant date.
- (4) The option expires in the current year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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