Edgar Filing: TORONTO DOMINION BANK - Form 4

TORONTO DOMINION BANK Form 4 September 20, 2017 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **TD** Luxembourg International Issuer Symbol Holdings S.a r.l. TD AMERITRADE HOLDING (Check all applicable) CORP [AMTD] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) 46A, AVENUE DE LA GARE, 09/18/2017 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting LUXEMBOURG, N4 L-1610 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 7. Nature 1.Title of 3. 4. Securities Acquired (A) or 5. Amount of 6. Execution Date, if Security (Month/Day/Year) TransactionDisposed of (D) Securities Ownership of Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial any Direct (D) (Month/Day/Year) (Instr. 8) Owned Ownership Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common Stock, par Ρ 11,074,197 A 09/18/2017 233,993,484 D⁽¹⁾ value 36.12 \$0.01 per

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

share

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Unde Secur | le and unt of rlying tities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|-----------------------|--|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|----------------------------------|------|--|--|--|--|
| | Director 10% Owner Officer Other | | | | | |
| TD Luxembourg International Holdings S.a 46A, AVENUE DE LA GARE LUXEMBOURG, N4 L-1610 | r.l. X | | | | | |
| TORONTO DOMINION BANK TORONTO-DOMINION CENTRE P.O. BOX 1 TORONTO, A6 M5KIA2 | Х | | | | | |
| TD GROUP US HOLDINGS LLC 251 LITTLE FALLS DRIVE WILMINGTON, DE 19808 | Х | | | | | |
| Signatures | | | | | | |
| TD LUXEMBOURG INTERNATIONAL HOLDINGS S.A R.L., By: /s/ Yves Sawaya, Title: Board Manager | | | | | | |
| <u>**</u> Signature | of Reporting Person | Date | | | | |
| TD GROUP US HOLDINGS LLC, By: /s/ | 09/19/2017 | | | | | |
| <u>**</u> Signature | of Reporting Person | Date | | | | |
| TORONTO DOMINION BANK, By: /s/ B Corporate Development | 09/19/2017 | | | | | |
| <u>**</u> Signature | of Reporting Person | Date | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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(1) The shares of common stock are owned directly by TD Luxembourg International Holdings S.a r.l., which is a wholly-owned subsidiary of TD Group US Holdings LLC, which is a wholly-owned subsidiary of The Toronto-Dominion Bank.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.