

HUMPHREYS STEVEN

Form 4

July 27, 2018

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HUMPHREYS STEVEN**

(Last) (First) (Middle)

2201 WALNUT AVENUE, SUITE  
#100

(Street)

FREMONT, CA 94538

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

Identiv, Inc. [INVE]

3. Date of Earliest Transaction  
(Month/Day/Year)

01/09/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/09/2018		F	2,766 D \$ 3.3	258,153 <sup>(1)</sup>	D	
Common Stock	02/09/2018		F	2,577 D \$ 3.66	255,576 <sup>(2)</sup>	D	
Common Stock	03/09/2018		F	2,736 D \$ 3.84	252,840 <sup>(3)</sup>	D	
Common Stock	04/09/2018		F	2,180 D \$ 3.47	250,660 <sup>(4)</sup>	D	
Common Stock	05/09/2018		F	2,180 D \$ 3.42	248,480 <sup>(5)</sup>	D	

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Common Stock	06/08/2018	F	2,180	D	\$ 4.31	246,300 <sup>(6)</sup>	D
Common Stock	07/09/2018	F	2,180	D	\$ 4.17	244,120 <sup>(7)</sup>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
HUMPHREYS STEVEN 2201 WALNUT AVENUE, SUITE #100 FREMONT, CA 94538	X CEO

## Signatures

/s/ Steven  
Humphreys 07/27/2018  
 \*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes an aggregate of 126,107 shares of common stock issuable pursuant to previously reported restricted stock units that have not vested.

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- (2) Includes an aggregate of 119,801 shares of common stock issuable pursuant to previously reported restricted stock units that have not vested.
- (3) Includes an aggregate of 113,496 shares of common stock issuable pursuant to previously reported restricted stock units that have not vested.
- (4) Includes an aggregate of 107,191 shares of common stock issuable pursuant to previously reported restricted stock units that have not vested.
- (5) Includes an aggregate of 100,885 shares of common stock issuable pursuant to previously reported restricted stock units that have not vested.
- (6) Includes an aggregate of 94,580 shares of common stock issuable pursuant to previously reported restricted stock units that have not vested.
- (7) Includes an aggregate of 88,275 shares of common stock issuable pursuant to previously reported restricted stock units that have not vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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