

ERGEN CANTEY
Form 4
December 04, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
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(Print or Type Responses)

1. Name and Address of Reporting Person *
ERGEN CHARLES W

(Last) (First) (Middle)

9601 S. MERIDIAN BLVD.

(Street)

ENGLEWOOD, CO 80112

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
DISH Network CORP [DISH]

3. Date of Earliest Transaction
(Month/Day/Year)
11/30/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Chairman and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☐ Form filed by One Reporting Person
☒ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/30/2017		G	V 2,315 D \$ 0	596,470	D	
Class A Common Stock	11/30/2017		G	V 530 A \$ 0	8,955	I	I ⁽¹⁾
Class A Common Stock					19,743	I	I ⁽²⁾
Class A Common					235	I	I ⁽³⁾

Stock

Class A

Common

2,183

I

I ⁽⁴⁾

Stock

Class A

Common

2,167,705

I

I ⁽⁵⁾

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying (Instr. 3 and 4)	
							Date Exercisable	Expiration Date	Title	
				Code	V	(A)	(D)			
Class B Common Stock	(6)	11/30/2017		G(7)	V		13,514,536	(6)	(6)	Class A Common Stock
Class B Common Stock	(6)	11/30/2017		G(7)	V	13,514,536		(6)	(6)	Class A Common Stock
Class B Common Stock	(6)	11/30/2017		G(8)	V		40,000,000	(6)	(6)	Class A Common Stock
Class B Common Stock	(6)	11/30/2017		G(8)	V	40,000,000		(6)	(6)	Class A Common Stock
Class B Common Stock	(6)							(6)	(6)	Class A Common Stock

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

ERGEN CHARLES W
9601 S. MERIDIAN BLVD. X X Chairman and CEO
ENGLEWOOD, CO 80112

ERGEN CANTEY
9601 S. MERIDIAN BLVD. X X
ENGLEWOOD, CO 80112

Signatures

/s/ Charles W. Ergen, by Brandon Ehrhart, his Attorney in
Fact

12/04/2017

__Signature of Reporting Person

Date

/s/ Cantey M. Ergen, by Brandon Ehrhart, her Attorney in
Fact

12/04/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares are owned beneficially by the reporting persons' child. The reporting persons disclaim beneficial ownership of the shares, except to the extent of their pecuniary interest therein.
- (2) Held by Mr. Charles W. Ergen in a 401(k) account.
- (3) Held by Mrs. Cantey M. Ergen.
- (4) Held by Mrs. Cantey M. Ergen in a 401(k) account.
- (5) The shares are held by a charitable foundation. The reporting persons are officers of the charitable foundation and share investment control and voting power for the foundation. The reporting persons disclaim beneficial ownership of the shares, except to the extent of their pecuniary interest therein.
- (6) The holders of Class B shares may elect to convert any or all of their Class B shares into an equal number of Class A shares at any time for no additional consideration.
- (7) Pursuant to the terms of the Ergen Three-Year 2015 DISH GRAT, 13,514,536 Class B shares were distributed as an annuity to Mr. Ergen on November 30, 2017, with the Ergen Three-Year 2015 DISH GRAT retaining 8,536,625 Class B shares. The Ergen Three-Year 2015 DISH GRAT is scheduled to expire in accordance with its terms on November 30, 2018.
- (8) On November 30, 2017, Mr. Charles W. Ergen established the Ergen Two-Year 2017 DISH GRAT and contributed 40,000,000 Class B shares, resulting in the transfer of a total of 40,000,000 Class B shares.
- (9) The Ergen Three-Year 2017 DISH GRAT hold 40,000,000 Class B shares and is scheduled to expire in accordance with its terms on May 30, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.