Edgar Filing: Pellegrino Stephen M - Form 4

Pellegrino Sto Form 4	ephen M										
October 04, 2										PPROVAL	
FORM	4 UNITED S	TATES		ITIES AI hington, l			NGE (COMMISSION		3235-0287	
Check this			v v us	inigron,					Expires:	January 31	
if no long subject to Section 10 Form 4 or Form 5	F CHANGES IN BENEFICIAL OW SECURITIES Section 16(a) of the Securities Exchang						Estimated burden hou response	urs per			
obligation may conti <i>See</i> Instru 1(b).	ns Section 17(a)) of the 1	Public Ut		ing Com	pany	Act o	f 1935 or Sectio	n		
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> Pellegrino Stephen M			2. Issuer Name and Ticker or Trading Symbol KEY TECHNOLOGY INC [KTEC]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Mi	iddle)	L 3					(Check all applicable)			
(Last) (First) (Middle) 150 AVERY STREET			3. Date of Earliest Transaction (Month/Day/Year) 10/02/2017					Director 10% Owner X_Officer (give title Other (specify below) SVP of Global Sales			
				4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person			
WALLA WA	ALLA, WA 99362	2						Form filed by M Person	Iore than One R	eporting	
(City)	(State) (Z	Zip)	Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed of	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deen Execution any (Month/E		on Date, if TransactionAcquired (A) Code Disposed of (Day/Year) (Instr. 8) (Instr. 3, 4 and (A)			l (A) o l of (D 4 and (A))	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Shares	10/02/2017			F	605 <u>(1)</u>	D	\$0	78,513	D		
Common Shares	10/02/2017			F	670 <u>(2)</u>	D	\$0	77,843	D		
Common Shares	10/02/2017			F	738 <u>(3)</u>	D	\$0	77,105	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

Edgar Filing: Pellegrino Stephen M - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Pellegrino Stephen M 150 AVERY STREET WALLA WALLA, WA 99362			SVP of Global Sales					
Signatures								
/s/ Stephen M. Pellegrino by Gi in Fact	10/03/2017							
<u>**</u> Signature of Reporti	ng Person		Date					
Explanation of Pa	enon	0001						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Delivery of shares to Company to satisfy tax withholding obligations in connection with lapse of restrictions on 1,920 shares of restricted common stock.
- (2) Delivery of shares to Company to satisfy tax withholding obligations in connection with lapse of restrictions on 2,126 shares of restricted common stock.
- (3) Delivery of shares to Company to satisfy tax withholding obligations in connection with lapse of restrictions on 2,341 shares of restricted common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.