

SMITH A O CORP
Form 4
April 24, 2007

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ROMOSER W DAVID

(Last) (First) (Middle)

A. O. SMITH
CORPORATION, 11270 WEST
PARK PLACE

(Street)

MILWAUKEE, WI 53224

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SMITH A O CORP [AOS]

3. Date of Earliest Transaction
(Month/Day/Year)
04/23/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
Senior VP, Gen. Counsel & Sec

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				(A) or (D)	Price					
			Code	V	Amount					
Common Stock	04/23/2007		M ⁽¹⁾		8,725	A	\$ 13.563	56,762	D	
Common Stock	04/23/2007		M ⁽¹⁾		7,875	A	\$ 15.135	64,637	D	
Common Stock	04/23/2007		S ⁽²⁾		7,950	D	\$ 38	56,687	D	
Common Stock	04/23/2007		S ⁽²⁾		1,600	D	\$ 38.01	55,087	D	
Common Stock	04/23/2007		S ⁽²⁾		2,386	D	\$ 38.02	52,701	D	

Edgar Filing: SMITH A O CORP - Form 4

Common Stock	04/23/2007	<u>S(2)</u>	1,020	D	\$ 38.03	51,681	D
Common Stock	04/23/2007	<u>S(2)</u>	900	D	\$ 38.05	50,781	D
Common Stock	04/23/2007	<u>S(2)</u>	300	D	\$ 38.06	50,481	D
Common Stock	04/23/2007	<u>S(2)</u>	100	D	\$ 38.066	50,381	D
Common Stock	04/23/2007	<u>S(2)</u>	594	D	\$ 38.1	49,787	D
Common Stock	04/23/2007	<u>S(2)</u>	400	D	\$ 38.12	49,387	D
Common Stock	04/23/2007	<u>S(2)</u>	200	D	\$ 38.13	49,187	D
Common Stock	04/23/2007	<u>S(2)</u>	550	D	\$ 38.14	48,637	D
Common Stock	04/23/2007	<u>S(2)</u>	300	D	\$ 38.16	48,337	D
Common Stock	04/23/2007	<u>S(2)</u>	100	D	\$ 38.166	48,237	D
Common Stock	04/23/2007	<u>S(2)</u>	200	D	\$ 38.2	48,037	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
	\$ 13.563	04/23/2007		<u>M(1)</u>	8,725	10/09/2001	10/10/2010		8,725

Employee Stock Options (Right to Buy) <u>(3)</u>								Common Stock	
Employee Stock Options (Right to Buy) <u>(4)</u>	\$ 15.135	04/23/2007		M ⁽¹⁾	7,875	10/08/2002	10/09/2011	Common Stock	7,875

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROMOSER W DAVID A. O. SMITH CORPORATION 11270 WEST PARK PLACE MILWAUKEE, WI 53224			Senior VP, Gen. Counsel & Sec	

Signatures

W. David
Romoser

04/24/2007

 Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercises reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on October 10, 2006.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on October 10, 2006.
- (3) Granted on October 10, 2000, under the A. O. Smith Corporation Long-Term Executive Incentive Compensation Plan, a Rule 16b-3 Plan.
- (4) Granted on October 9, 2001, under the A. O. Smith Corporation Long-Term Executive Incentive Compensation Plan, a Rule 16b-3 Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.