### Edgar Filing: Apollo Global Management LLC - Form 4

Apollo Global Management LLC Form 4 April 06, 2017 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading TIGER GLOBAL MANAGEMENT Issuer Symbol LLC Apollo Global Management LLC (Check all applicable) [APO] (Last) (First) (Middle) 3. Date of Earliest Transaction Director X\_\_ 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) 9 WEST 57TH STREET, 35TH 04/04/2017 **FLOOR** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting NEW YORK, NY 10019 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 7. Nature 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 6. Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership of Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership or Indirect (Instr. 4) Following Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Price Code V Amount (D) Class A shares See representing 04/04/2017 04/04/2017 Ρ 14,600 Α \$ 24.75 23,376,399 Footnote Ι limited (1) liability co. interests Р Class A 04/05/2017 04/05/2017 388.600 Α \$ See 23.764.999 Ι shares 25.042 Footnote (2)(1)representing limited liability co.

**Reporting Owners** 

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#### interests

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
TIGER GLOBAL MANAGEMENT LLC 9 WEST 57TH STREET 35TH FLOOR NEW YORK, NY 10019		Х					
Coleman Charles P III C/O TIGER GLOBAL MANAGEMENT, LLC 9 WEST 57TH STREET, 35TH FLOOR NEW YORK, NY 10019		Х					
SHLEIFER SCOTT L C/O TIGER GLOBAL MANAGEMENT, LLC 9 WEST 57TH STREET, 35TH FLOOR NEW YORK, NY 10019		Х					
Fixel Lee C/O TIGER GLOBAL MANAGEMENT, LLC 9 WEST 57TH STREET, 35TH FLOOR NEW YORK, NY 10019		Х					

Date

Date

Date

Date

## Signatures

Tiger Global Management, LLC, By: /s/ Anil L. Crasto, Chief Operating Officer 04/06/2017 \*\*Signature of Reporting Person By: /s/ Charles P. Coleman, III 04/06/2017 \*\*Signature of Reporting Person By: /s/ Scott Shleifer 04/06/2017 \*\*Signature of Reporting Person By: /s/ Lee Fixel 04/06/2017

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Class A shares representing limited liability company interests of the Issuer are held in the accounts of private investment funds managed by Tiger Global Management, LLC ("Tiger Global") and may be deemed to be beneficially owned by (i) Tiger Global; (ii) Charles P. Coleman, III ("Coleman"), a partner and portfolio manager of Tiger Global; (iii) Scott Shleifer ("Shleifer"), a partner and

(1) portfolio manager of Tiger Global and (iv) Lee Fixel ("Fixel"), a partner and portfolio manager of Tiger Global. Each of Tiger Global, Coleman, Shleifer and Fixel disclaim beneficial ownership of the reported securities except to the extent of his or its pecuniary interest therein, and affirmatively disclaim being a "group" for purposes of Section 16 of the Securities Exchange Act of 1934, as amended (the "Exchange Act").

This transaction was executed in multiple trades ranging from \$24.880 to \$25.215. The price reported reflects the weighted average (2) purchase price. The Reporting Persons hereby undertake to provide upon request to the Securities and Exchange Commission staff, the Issuer or a security holder of Issuer full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.