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HEARTLAND FINANCIAL USA INC

Form 13F-NT

November 02, 2004

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 13F

Report for the Calendar Year or Quarter Ended:  
September 30, 2004

Check here if Amendment : Amendment Number: \_\_\_\_\_

This Amendment (Check only one.):  a restatement.

adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Dubuque Bank & Trust Company

Address: 1398 Central Avenue  
Dubuque, Iowa 52001

Form 13F file number:

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Reporting Manager:

Name: Paul J. Peckosh

Title: Executive Vice President

Phone: 563-589-2000

Signature, Place, and Date of Signing:

/s/ Paul Peckosh

\_\_\_\_\_  
Dubuque, Iowa

November 2, 2004

Report Type (Check only one.):

13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)

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[ ] 13F NOTICE. (Check here if no holdings reported of this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

[ ] 13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 155

Form 13F Information Table Entry Total:

Form 13F Information Table Value Total: \$155,597,694

List of Other Managers: NONE

Name Of Issuer	Type	Cusip	Market Value	Shares/PV	SH/ PRN	Invest Disc	Other Mgrs
Agilent Technologies Inc	Equity	00846U101	2,452,682	113,708	SH	Sole	0
Agilent Technologies Inc	Equity	00846U101	1,294	60	SH	Defined	0
Agilent Technologies Inc	Equity	00846U101	30,090	1,395	SH	Other	0
Alliant Energy Corp	Equity	018802108	328,963	13,222	SH	Sole	0
Alliant Energy Corp	Equity	018802108	45,829	1,842	SH	Defined	0
Amcore Financial Inc	Equity	023912108	319,871	11,271	SH	Sole	0
American International Group	Equity	026874107	4,189,000	61,612	SH	Sole	0
American International Group	Equity	026874107	4,419	65	SH	Defined	0
American International Group	Equity	026874107	23,457	345	SH	Other	0
Amerisource Bergen Corp	Equity	03073E105	3,304,078	61,517	SH	Sole	0
Amerisource Bergen Corp	Equity	03073E105	2,686	50	SH	Defined	0
Amerisource Bergen Corp	Equity	03073E105	38,671	720	SH	Other	0
ASTA Funding Inc.	Equity	046220109	2,250,248	138,990	SH	Sole	0
ASTA Funding Inc.	Equity	046220109	1,943	120	SH	Defined	0
ASTA Funding Inc.	Equity	046220109	25,256	1,560	SH	Other	0
Bank Of America Corporation	Equity	060505104	324,888	7,498	SH	Sole	0
Baxter International Inc	Equity	071813109	3,362,424	104,553	SH	Sole	0
Baxter International Inc	Equity	071813109	2,894	90	SH	Defined	0

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Baxter International Inc	Equity	071813109	27,818	865 SH Other	0
Bethlehem Steel Corp	Equity	087509105	900	300,000 SH Sole	0
Black Hills Corp	Equity	092113109	2,445,196	88,020 SH Sole	0
Black Hills Corp	Equity	092113109	1,389	50 SH Defined	0
Black Hills Corp	Equity	092113109	34,169	1,230 SH Other	0
Brown Shoe Company Inc	Equity	115736100	2,570,404	102,570 SH Sole	0
Brown Shoe Company Inc	Equity	115736100	2,757	110 SH Defined	0
Brown Shoe Company Inc	Equity	115736100	26,689	1,065 SH Other	0
Calpine Corporation	Equity	131347106	1,174,442	404,980 SH Sole	0
Calpine Corporation	Equity	131347106	1,131	390 SH Defined	0
Calpine Corporation	Equity	131347106	21,721	7,490 SH Other	0
Cardinal Health Inc.	Equity	14149Y108	3,612,776	82,540 SH Sole	0
Cardinal Health Inc.	Equity	14149Y108	3,064	70 SH Defined	0
Cardinal Health Inc.	Equity	14149Y108	42,457	970 SH Other	0
Caterpillar Inc.	Equity	149123101	217,215	2,700 SH Sole	0
Chesapeake Energy	Equity	165167107	3,836,401	242,350 SH Sole	0
Chesapeake Energy	Equity	165167107	2,691	170 SH Defined	0
Chesapeake Energy	Equity	165167107	34,035	2,150 SH Other	0
Chevrontexaco Corp	Equity	166764100	277,319	5,170 SH Sole	0
Cimetrix Inc	Equity	17185E100	171,000	300,000 SH Sole	0
Cisco Systems Inc.	Equity	17275R102	289,166	15,976 SH Sole	0
Cisco Systems Inc.	Equity	17275R102	17,467	965 SH Defined	0
Citigroup Inc	Equity	172967101	381,241	8,641 SH Sole	0
Citrix Systems Inc	Equity	177376100	2,803,813	160,035 SH Sole	0
Citrix Systems Inc	Equity	177376100	2,628	150 SH Defined	0
Citrix Systems Inc	Equity	177376100	38,544	2,200 SH Other	0
Cobiz, Inc.	Equity	190897108	176,954	10,705 SH Sole	0
Coca Cola Co	Equity	191216100	3,266,358	81,557 SH Sole	0
Coca Cola Co	Equity	191216100	2,403	60 SH Defined	0
Coca Cola Co	Equity	191216100	13,016	325 SH Other	0
J.C. Baldridge Lumber	Equity	200499999	3,282,264	45,587 SH Other	0
Cooper Tire & Rubber Co	Equity	216831107	2,047,053	101,490 SH Sole	0
Cooper Tire & Rubber Co	Equity	216831107	7,060	350 SH Other	0
Coronado Industries, Inc	Equity	219784105	1,152	16,000 SH Sole	0
Dean Foods Co New	Equity	242370104	2,986,089	99,470 SH Sole	0
Dean Foods Co New	Equity	242370104	1,201	40 SH Defined	0
Dean Foods Co New	Equity	242370104	37,435	1,247 SH Other	0
Disney	Equity	254687106	2,599,947	115,297 SH Sole	0
Disney	Equity	254687106	2,706	120 SH Defined	0

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Disney	Equity	254687106	18,040	800 SH Other	0
Dupont, E.I. De Nemours &	Equity	263534109	217,338	5,078 SH Sole	0
Dupont, E.I. De Nemours &	Equity	263534109	2,140	50 SH Defined	0
Enterprise Bank Kenilworth	Equity	29367T102	145,000	20,000 SH Sole	0
Express Scripts Inc	Equity	302182100	1,769,081	27,075 SH Sole	0
Express Scripts Inc	Equity	302182100	2,614	40 SH Defined	0
Express Scripts Inc	Equity	302182100	3,920	60 SH Other	0
Exxon Mobil Corp	Equity	30231G102	588,998	12,187 SH Sole	0
Exxon Mobil Corp	Equity	30231G102	4,833	100 SH Defined	0
Freddie Mac	Equity	313400301	3,589,831	55,025 SH Sole	0
Freddie Mac	Equity	313400301	1,305	20 SH Defined	0
Freddie Mac	Equity	313400301	48,604	745 SH Other	0
Fannie Mae	Equity	313586109	2,846,977	44,905 SH Sole	0
Fannie Mae	Equity	313586109	1,268	20 SH Defined	0
Fannie Mae	Equity	313586109	22,190	350 SH Other	0
Fidelity National Finl Inc	Equity	316326107	2,648,331	69,510 SH Sole	0
Fidelity National Finl Inc	Equity	316326107	2,286	60 SH Defined	0
Fidelity National Finl Inc	Equity	316326107	29,337	770 SH Other	0
General Electric	Equity	369604103	1,297,632	38,643 SH Sole	0
General Electric	Equity	369604103	84,319	2,511 SH Defined	0
Genus Inc	Equity	372461103	1,828,838	831,290 SH Sole	0
Genus Inc	Equity	372461103	2,530	1,150 SH Defined	0
Genus Inc	Equity	372461103	26,730	12,150 SH Other	0
Hewlett-Packard Co	Equity	428236103	2,480,569	132,297 SH Sole	0
Hewlett-Packard Co	Equity	428236103	2,625	140 SH Defined	0
Hewlett-Packard Co	Equity	428236103	34,069	1,817 SH Other	0
Home Depot Inc	Equity	437076102	2,047,455	52,231 SH Sole	0
Home Depot Inc	Equity	437076102	11,250	287 SH Defined	0
Home Depot Inc	Equity	437076102	17,640	450 SH Other	0
IDT Corp - Cl B	Equity	448947309	2,494,694	165,981 SH Sole	0
IDT Corp - Cl B	Equity	448947309	2,405	160 SH Defined	0
IDT Corp - Cl B	Equity	448947309	29,759	1,980 SH Other	0
Intel Corp	Equity	458140100	313,177	15,612 SH Sole	0
Intel Corp	Equity	458140100	6,018	300 SH Defined	0
IBM	Equity	459200101	285,686	3,332 SH Sole	0
International Wayside Gold	Equity	46056H108	12,000	100,000 SH Sole	0

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JP Morgan Chase & Co	Equity	46625H100	808,784	20,357	SH Sole	0
JP Morgan Chase & Co	Equity	46625H100	1,987	50	SH Defined	0
Jakks Pacific Inc	Equity	47012E106	2,333,511	101,457	SH Sole	0
Jakks Pacific Inc	Equity	47012E106	3,450	150	SH Defined	0
Jakks Pacific Inc	Equity	47012E106	27,140	1,180	SH Other	0
Johnson & Johnson	Equity	478160104	2,303,503	40,893	SH Sole	0
Johnson & Johnson	Equity	478160104	16,899	300	SH Defined	0
Johnson & Johnson	Equity	478160104	12,843	228	SH Other	0
Kemet Corp	Equity	488360108	1,545,271	191,010	SH Sole	0
Kemet Corp	Equity	488360108	2,023	250	SH Defined	0
Kemet Corp	Equity	488360108	22,045	2,725	SH Other	0
Kimberly-Clark Corp	Equity	494368103	3,368,627	52,154	SH Sole	0
Kimberly-Clark Corp	Equity	494368103	6,136	95	SH Defined	0
Kimberly-Clark Corp	Equity	494368103	11,303	175	SH Other	0
Kinder Morgan Inc.	Equity	49455P101	1,528,097	24,325	SH Sole	0
Kinder Morgan Inc.	Equity	49455P101	15,077	240	SH Other	0
LTV Corporation	Equity	501921100	1,200	2,000,000	SH Sole	0
Lifetime Hoan Corp	Equity	531926103	1,621,249	109,175	SH Sole	0
Lifetime Hoan Corp	Equity	531926103	17,820	1,200	SH Other	0
Lilly, Eli & CO	Equity	532457108	204,170	3,400	SH Sole	0
MDU Resources Group Inc	Equity	552690109	3,706,869	140,785	SH Sole	0
MDU Resources Group Inc	Equity	552690109	3,423	130	SH Defined	0
MDU Resources Group Inc	Equity	552690109	42,655	1,620	SH Other	0
Masco Corp	Equity	574599106	3,454,381	100,040	SH Sole	0
Masco Corp	Equity	574599106	3,453	100	SH Defined	0
Masco Corp	Equity	574599106	34,530	1,000	SH Other	0
McKesson Corp	Equity	58155Q103	2,404,790	93,754	SH Sole	0
McKesson Corp	Equity	58155Q103	15,390	600	SH Defined	0
McKesson Corp	Equity	58155Q103	24,624	960	SH Other	0
Merck & Co.	Equity	589331107	2,630,199	79,703	SH Sole	0
Merck & Co.	Equity	589331107	19,965	605	SH Other	0
Microsoft Corporation	Equity	594918104	235,025	8,500	SH Sole	0
Microsoft Corporation	Equity	594918104	2,765	100	SH Defined	0
Microsoft Corporation	Equity	594918104	5,530	200	SH Other	0
Motorola Inc.	Equity	620076109	2,813,789	155,975	SH Sole	0
Motorola Inc.	Equity	620076109	2,706	150	SH Defined	0
Motorola Inc.	Equity	620076109	17,589	975	SH Other	0
Mylan Laboratories	Equity	628530107	1,954,440	108,580	SH Sole	0
Mylan Laboratories	Equity	628530107	1,260	70	SH Defined	0

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Mylan Laboratories	Equity	628530107	19,260	1,070 SH Other	0
NCO Group Inc	Equity	628858102	2,298,161	85,275 SH Sole	0
NCO Group Inc	Equity	628858102	3,234	120 SH Defined	0
NCO Group Inc	Equity	628858102	31,666	1,175 SH Other	0
Northern Trust Corporation	Equity	665859104	2,296,224	56,280 SH Sole	0
Northern Trust Corporation	Equity	665859104	2,856	70 SH Defined	0
Northern Trust Corporation	Equity	665859104	15,504	380 SH Other	0
Oshkosh B'Gosh A	Equity	6882222070	317,140	15,700 SH Sole	0
Pall Corp	Equity	696429307	3,652,783	149,215 SH Sole	0
Pall Corp	Equity	696429307	2,938	120 SH Defined	0
Pall Corp	Equity	696429307	33,048	1,350 SH Other	0
Parlex Corp	Equity	701630105	2,632,682	492,090 SH Sole	0
Parlex Corp	Equity	701630105	2,568	480 SH Defined	0
Parlex Corp	Equity	701630105	33,170	6,200 SH Other	0
Pepsico Incorporated	Equity	713448108	271,954	5,590 SH Sole	0
Pepsico Incorporated	Equity	713448108	4,865	100 SH Other	0
Pfizer Inc	Equity	717081103	1,040,645	34,008 SH Sole	0
Pfizer Inc	Equity	717081103	5,080	166 SH Defined	0
Procter & Gamble	Equity	742718109	257,719	4,762 SH Sole	0
Procter & Gamble	Equity	742718109	1,515	28 SH Defined	0
Reliance Bancshares, Inc.	Equity	759450109	300,000	30,000 SH Sole	0
Remec Inc	Equity	759543101	270,637	57,460 SH Sole	0
Remec Inc	Equity	759543101	1,036	220 SH Defined	0
Remec Inc	Equity	759543101	3,179	675 SH Other	0
SBC Communications Inc	Equity	78387G103	197,817	7,623 SH Sole	0
SBC Communications Inc	Equity	78387G103	27,273	1,051 SH Defined	0
St Jude Res Ltd - Class A	Equity	79085J100	36,250	25,000 SH Sole	0
Silicon Val Bancshares	Equity	827064106	2,424,785	65,235 SH Sole	0
Silicon Val Bancshares	Equity	827064106	2,230	60 SH Defined	0
Silicon Val Bancshares	Equity	827064106	14,868	400 SH Other	0
Sonic Automotive Inc	Equity	83545G102	2,230,362	111,240 SH Sole	0
Sonic Automotive Inc	Equity	83545G102	2,807	140 SH Defined	0
Sonic Automotive Inc	Equity	83545G102	15,238	760 SH Other	0
Stellent Inc	Equity	85856W105	1,609,709	208,782 SH Sole	0
Stellent Inc	Equity	85856W105	1,311	170 SH Defined	0
Stellent Inc	Equity	85856W105	23,169	3,005 SH Other	0

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Superconductor Technologies	Equity	867931107	307,930	282,505	SH Sole	0
Superconductor Technologies	Equity	867931107	1,014	930	SH Other	0
Swift Transportation Co Inc	Equity	870756103	3,158,796	187,800	SH Sole	0
Swift Transportation Co Inc	Equity	870756103	2,859	170	SH Defined	0
Swift Transportation Co Inc	Equity	870756103	33,220	1,975	SH Other	0
Synopsys Inc	Equity	871607107	1,488,548	94,451	SH Sole	0
Synopsys Inc	Equity	871607107	1,576	100	SH Defined	0
Synopsys Inc	Equity	871607107	18,124	1,150	SH Other	0
Toro Co	Equity	891092108	1,894,437	27,737	SH Sole	0
Toro Co	Equity	891092108	5,123	75	SH Other	0
US Bancorp New	Equity	902973304	3,266,365	113,023	SH Sole	0
US Bancorp New	Equity	902973304	6,069	210	SH Defined	0
US Bancorp New	Equity	902973304	22,484	778	SH Other	0
Vishay Intertechnology Inc	Equity	928298108	1,702,155	131,950	SH Sole	0
Vishay Intertechnology Inc	Equity	928298108	2,064	160	SH Defined	0
Vishay Intertechnology Inc	Equity	928298108	21,479	1,665	SH Other	0
Walmart	Equity	931142103	575,943	10,826	SH Sole	0
Trelay Farms, Inc.	Equity	999993141	206,715	509	SH Other	0
Novel Denim Holdings LTD	Equity	G6674P109	370,178	352,550	SH Sole	0
Novel Denim Holdings LTD	Equity	G6674P109	3,360	3,200	SH Other	0
Transocean Sedco Forex Inc	Equity	G90078109	4,437,793	124,030	SH Sole	0
Transocean Sedco Forex Inc	Equity	G90078109	4,294	120	SH Defined	0
Transocean Sedco Forex Inc	Equity	G90078109	49,376	1,380	SH Other	0
MP052005 LLC	Equity	LL1000000	39,220	39,220	SH Sole	0
State Avenue Investments VI	Equity	LL1001008	237,000	237,000	SH Sole	0
State Investments VI LLC	Equity	LL1002006	55,500	55,500	SH Sole	0
MP052005 LLC	Equity	LL1003004	146,427	146,427	SH Sole	0
MP052005 LLC	Equity	LL1005009	194,889	194,889	SH Sole	0
Schnepf 40, LLC	Equity	LL1007005	70,000	70,000	SH Sole	0
MP092004 LLC Serviced By:	Equity	LL1008003	222,000	222,000	SH Sole	0
MP092004 LLC Serviced By:	Equity	LL1010009	248,176	248,176	SH Sole	0
MP052005 LLC Serviced By:	Equity	LL1011007	186,474	186,474	SH Sole	0
MP052005 LLC Serviced By:	Equity	LL1012005	365,000	365,000	SH Sole	0
MP092004 LLC Serviced By:	Equity	LL1013003	11,019	11,019	SH Sole	0
MP052005 LLC Serviced By:	Equity	LL1015008	71,122	71,122	SH Sole	0
Vista Ray First Mortgage LLC	Equity	LL1017004	187,081	187,081	SH Sole	0
Dobson & Queen Creek First	Equity	LL1022004	75,000	75,000	SH Sole	0
Dobson & Queen Creek First	Equity	LL1025007	13,000	13,000	SH Sole	0
Val Vista & Enid LLC	Equity	LL1030007	20,081	16,875	SH Sole	0
Val Vista & Enid LLC	Equity	LL1031005	20,081	16,875	SH Sole	0

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Val Vista & Enid LLC	Equity	LL1032003	40,163	33,750	SH	Sole	0
Horseshoe Butte LLC 5.5556%	Equity	LL1035006	100,750	100,750	SH	Sole	0
MP052005 LLC	Equity	LL1038000	291,033	291,033	SH	Sole	0
Horseshoe Butte LLC Serviced	Equity	LL1044008	11,992	11,992	SH	Sole	0
Apache Broadway 1st Mtg LLC	Equity	LL1047001	75,000	75,000	SH	Sole	0
Lancelot Tropical LLC	Equity	LL1048000	100,000	100,000	SH	Sole	0
MP092004 LLC	Equity	LL1048009	95,414	95,414	SH	Sole	0
Vista Ray First Mortgage LLC	Equity	LL1052004	24,371	24,371	SH	Sole	0
Good Shepherd LLC	Equity	LL1053000	28,000	28,000	SH	Sole	0
Phoenix Trust Deed Partners	Equity	LL1054000	55,000	55,000	SH	Sole	0
Dobson & Queen Creek 1st Mor	Equity	LL1056000	20,000	20,000	SH	Sole	0
INCA Capital Fund 19 LLC	Equity	LL1057000	25,000	25,000	SH	Sole	0
Williams Field Holdings LLC	Equity	LL1058000	90,264	90,264	SH	Sole	0
SMS III	Equity	LL1061000	12,500	12,500	SH	Sole	0
MP052005 LLC Serviced by:	Equity	LL1063000	59,000	59,000	SH	Sole	0
MP052005 LLC Serviced by:	Equity	LL1065000	31,167	31,167	SH	Sole	0
MP052005 LLC Serviced by:	Equity	LL1068000	67,000	67,000	SH	Sole	0
MP052005 LLC Serviced by	Equity	LL1075000	25,000	25,000	SH	Sole	0
The P.M. Murphy Group #2 LLC	Equity	LL1080000	14,118	14,118	SH	Sole	0
MP052005 LLC Serviced by:	Equity	LL1084000	350,000	350,000	SH	Sole	0
MP032004 LLC Serviced by:	Equity	LL1085000	351,571	351,571	SH	Sole	0
MP092004 LLC Serviced by:	Equity	LL1087000	378,000	378,000	SH	Sole	0
Phoenix Trust Deed Partners	Equity	LL1091000	150,000	150,000	SH	Sole	0
MP122009 LLC	Equity	LL1092000	56,362	56,362	SH	Sole	0
Williams Field Holdings LLC	Equity	LL1093000	25,000	25,000	SH	Sole	0
Canyon Floor Partners LLC	Equity	LL1094000	100,000	100,000	SH	Sole	0
Germann & Lindsay First	Equity	LL1095000	30,000	30,000	SH	Sole	0
Laughing Waters LLP	Equity	LL1097000	71,324	71,324	SH	Sole	0
Rollover Assets LLC	Equity	LL1098000	1,004,494	1,004,494	SH	Sole	0
Mortgages Equities VII LLC	Equity	LL1099000	43,570	43,570	SH	Sole	0
Mortgages Equities VII LLC	Equity	LL1100000	43,570	43,570	SH	Sole	0
PPM Investment Group LLC	Equity	LL1104000	150,000	150,000	SH	Sole	0
Arizona Management Bakery	Equity	LL1105000	75,000	75,000	SH	Sole	0
Summer Rain LLC	Equity	LL1109000	50,000	50,000	SH	Sole	0
Summer Rain LLC	Equity	LL1110000	100,000	100,000	SH	Sole	0
Summer Rain LLC	Equity	LL1111000	24,000	24,000	SH	Sole	0
MP122009 LLC	Equity	LL1112000	100,000	100,000	SH	Sole	0
MP122009 LLC	Equity	LL1114000	72,695	72,695	SH	Sole	0
MP122009 LLC	Equity	LL1115000	121,528	121,528	SH	Sole	0
MP122009 LLC	Equity	LL1116000	138,730	138,730	SH	Sole	0
Neso LLC	Equity	LL1118000	66,799	66,799	SH	Sole	0
Pinnacle Cemeteries	Equity	LL1119000	100,000	100,000	SH	Sole	0
MP122009	Equity	LL1121000	576,924	576,924	SH	Sole	0
MP062003	Equity	LL1122000	39,409	39,409	SH	Sole	0



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MP052005	Equity	LL1123000	500,413	500,413	SH	Sole	0
MP032004	Equity	LL1124000	263,518	263,518	SH	Sole	0
MP122009	Equity	LL1125000	528,273	528,273	SH	Sole	0
MP062003	Equity	LL1126000	34,844	34,844	SH	Sole	0
MP052005	Equity	LL1127000	654,616	654,616	SH	Sole	0
MP122009 LLC	Equity	LL1128000	75,000	75,000	SH	Sole	0
MP122009 LLC	Equity	LL1129000	71,403	71,403	SH	Sole	0
State Avenue Group LLC	Equity	LL1130000	10,000	10,000	SH	Sole	0
The Egg Factory LLC	Equity	LL1131000	50,424	50,424	SH	Sole	0
Therapy Specialities of	Equity	LL1132000	25,000	25,000	SH	Sole	0
Russell Ranch VI LLC	Equity	LL1134000	100,000	100,000	SH	Sole	0
Russell Ranch LLC	Equity	LL1135000	100,000	100,000	SH	Sole	0
Russell Ranch VI LLC	Equity	LL1136000	25,000	25,000	SH	Sole	0
Russell Ranch VI LLC	Equity	LL1137000	25,000	25,000	SH	Sole	0
Bond Ranch at Del Rio	Equity	LL1139000	138,539	138,539	SH	Sole	0
Sahalee Development Group	Equity	LL1140000	170,750	170,750	SH	Sole	0
Sammamish Development Group	Equity	LL1141000	59,000	59,000	SH	Sole	0
Canyon Floor Partners LLC	Equity	LL1142000	100,000	100,000	SH	Sole	0
Caminoloma Alta First	Equity	LL1145003	85,000	85,000	SH	Sole	0
Florence Blvd & I-10	Equity	LL1148007	50,173	38,300	SH	Sole	0
Axiom Investments LLC	Equity	LL1149005	25,050	15,000	SH	Sole	0
JWM-Greenway Marketplace LLC	Equity	LL1154005	28,000	28,000	SH	Sole	0
Broadway & Watson First	Equity	LL1155002	150,000	150,000	SH	Sole	0
MP122009 LLC	Equity	LL1156000	293,986	293,986	SH	Sole	0
Santana Ridge Land, LLC	Equity	LL1157008	70,000	70,000	SH	Sole	0
Commercial Vistoso LLC	Equity	LL1158006	186,900	186,900	SH	Sole	0
Camino Loma Alta First	Equity	LL1159004	25,000	25,000	SH	Sole	0
Russell Ranch LLC	Equity	LL1160002	274,120	274,120	SH	Sole	0
INCA Capital Fund 30, LLC	Equity	LL1161000	50,000	50,000	SH	Sole	0
Feod, LLC	Equity	LL1162008	50,000	50,000	SH	Sole	0
Broadway and Watson First	Equity	LL1163006	75,000	75,000	SH	Sole	0
WKMAC, LLC	Equity	LL1164004	225,000	225,000	SH	Sole	0
Crystal Broadway First	Equity	LL1165001	150,000	150,000	SH	Sole	0
Broadway and Watson First	Equity	LL1166009	50,000	50,000	SH	Sole	0
Broadway and Watson First	Equity	LL1167007	100,000	100,000	SH	Sole	0
Inca Capital Fund 31, LLC	Equity	LL1168005	50,000	50,000	SH	Sole	0
Valencia and Ajo First	Equity	LL1169003	59,000	59,000	SH	Sole	0
Crystal Broadway First	Equity	LL1170001	50,000	50,000	SH	Sole	0
Crystal Broadway First	Equity	LL1171009	15,000	15,000	SH	Sole	0
Apache Montana First	Equity	LL1172007	15,000	15,000	SH	Sole	0
Broadway & Watson First	Equity	LL1173005	20,000	20,000	SH	Sole	0
Olympian Investments LLC	Equity	LL1174003	40,000	40,000	SH	Sole	0
The PM Murphy Group #3 LLC	Equity	LL1175000	23,000	23,000	SH	Sole	0

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Jamaca Me Tan - Arizona 2,	Equity	LL1176008	456,000	456,000	SH Sole	0
The PM Murphy Group #2 LLC	Equity	LL1177006	28,235	28,235	SH Sole	0
The PM Murphy Group	Equity	LL1178004	30,000	30,000	SH Sole	0
Ellsworth and Ryan First	Equity	LL1179002	20,000	20,000	SH Sole	0
Sprint At Spectrum	Equity	LL1181008	75,000	75,000	SH Sole	0
La Casa Mesa First Mortgage,	Equity	LL1183004	0	50,000	SH Sole	0
MP122009, LLC	Equity	LL1184002	0	50,000	SH Sole	0
Lancelot Villas, LLC	Equity	LL1185009	0	40,000	SH Sole	0
Arizona Belize Partners, LLC	Equity	LL1186007	0	75,000	SH Sole	0
Siuslaw Gila Investors, LLC	Equity	LL1187005	0	100,000	SH Sole	0
Phoenix Trust Deed Partners	Equity	LL1188003	0	30,000	SH Sole	0
Phoenix Trust Deed Partners	Equity	LL1189001	0	75,000	SH Sole	0
La Casa Mesa First Mortgage	Equity	LL1191007	0	19,000	SH Sole	0
CG 20, LLC	Equity	LL1192000	132,000	132,000	SH Sole	0
Carefree Highway - 7th Ave	Equity	LP1469000	28,576	28,576	SH Sole	0
Tucson/Ryan Field Joint	Equity	LP1470006	43,440	43,440	SH Sole	0
Portiva Corporation Common	Equity	SU1129000	10,800	10,800	SH Sole	0
Business Seminars of	Equity	SU1516000	500,000	500,000	SH Sole	0
Vayala Corporation	Equity	SU1557000	50,000	50,000	SH Sole	0
Arizona Bank & Trust Stock	Equity	SU1572001	100,000	10,000	SH Sole	0
MP122009 LLC	Equity	TL1002000	269,000	269,000	SH Sole	0
Russell Ranch VI LLC	Equity	TL1003000	25,000	25,000	SH Sole	0
Prestwick Enterprises LLC	Equity	TL1006000	125,000	125,000	SH Sole	0
Platinum Exploration LLC	Equity	TL1007000	90,000	90,000	SH Sole	0