

Edgar Filing: STATE STREET CORP - Form 4

STATE STREET CORP

Form 4

April 09, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

Baughman, Frederick P.

State Street Corporation

225 Franklin Street

Boston, MA 02110

USA

2. Issuer Name and Ticker or Trading Symbol

State Street Corporation

STT

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

2/28/02

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

() Director () 10% Owner (X) Officer (give title below) () Other

(specify below)

Senior Vice President, Corporate Controller and Chief Accounting Officer

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person

() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D)	5. Amount of Securities Beneficially Owned at End of Month
			Amount	A/ D Price

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date	4. Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities	8. Put or Call
				Amount	A/ D	Title and Number of Shares	

Employee Stock Option (right to buy)	49.705	2/21/02	A(1)	V4,464	A	2/21/04 - 2/20/12	Common Stock	4,464
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Employee Stock Option (right to buy)	11.3282						2/18/98	2/17/03	Common Stock	2,204	
Employee Stock Option (right to buy)	9.3125						2/17/99	2/16/04	Common Stock	2,440	
Employee Stock Option (right to buy)	8.125						2/16/00	2/15/05	Common Stock	4,920	
Employee Stock Option (right to buy)	13.2032						6/20/01	6/19/06	Common Stock	4,000	
Employee Stock Option (right to buy)	26.2188						6/19/98-	6/18/07	Common Stock	6,000	
							6/19/00				
Employee Stock Option (right to buy)	34.1563						6/18/99-	6/17/08	Common Stock	8,000	
							6/18/01				
(CONTINUED ON THE NEXT PAGE)											
Employee Stock Option (right to buy)	40.5157						6/17/00-	6/16/09	Common Stock	8,886	
							6/17/02				
Employee Stock Option (right to buy)	53.0469						6/15/01-	6/14/10	Common Stock	8,126	
							6/15/03				
Employee Stock Option (right to buy)	39.565						9/20/02-	9/19/11	Common Stock	10,187	
							9/20/04				

Explanation of Responses:

(1) Employee stock option granted pursuant to State Street Corporation 1997 Equity Incentive Plan.

SIGNATURE OF REPORTING PERSON

/s/Frederick P. Baughman

DATE

4/9/02