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BAUGHMAN FREDERICK

Form 4

May 20, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 FORM 4 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP () Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instructions 1(b). 1. Name and Address of Reporting Person Baughman, Frederick P.

State Street Corporation 225 Franklin Street Boston, MA 02110 USA

2. Issuer Name and Ticker or Trading Symbol State Street Corporation

- 3. IRS or Social Security Number of Reporting Person (Voluntary)
- 4. Statement for Month/Year 05/01/02
- 5. If Amendment, Date of Original (Month/Year)
- 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) () Director () 10% Owner (X) Officer (give title below) () Other (specify below)

Senior Vice President, Corporate Controller and Chief Accounting Officer

- 7. Individual or Joint/Group Filing (Check Applicable Line)
 - (X) Form filed by One Reporting Person
 - () Form filed by More than One Reporting Person

| Table I Non-Derivative | Securit | ies i | Acq | uired, Disposed of | , or | Beneficiall | y Owned | |
|------------------------|--------------|------------------|-----------|------------------------------------|-------|-------------|---|--|
| 1. Title of Security | | saction | on | 4.Securities Acqual or Disposed of | | / | 5.Amount of Securities Beneficially Owned at End of Month | |
| Common Stock | 5/15/0 2 |) M | | 2,204 | A | 11.3282 | | |
| Common Stock | 5/15/0 2 |) S | | 2,204 | D | 48.10 | 10,549 | |
| | l | | | | | | 1,748(1) | |
| | | | | | | | 502 | |
| | | | | | | | | |

| Table II Demissatis | ro Coounit | itaa Namina | d Diamond of a | n Donoficially | Ormod | |
|-----------------------|------------|---------------|-------------------|-----------------|-------------------|-----|
| Table II Derivativ | /e securit | ites Acquired | u, Disposed Oi, C | or Beneficially | Owned | |
| 1.Title of Derivative | 2.Con- | 3. 4. | 5.Number of De | 6.Date Exer 7 | .Title and Amount | 8.E |
| Security | version | Transaction | rivative Secu | cisable and | of Underlying | of |
| | or Exer | | rities Acqui | Expiration | Securities | vat |
| | cise | | red(A) or Dis | Date(Month/ | | Sec |
| | | | | | | |
| | | | | | 4 | |

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| | | Price of Deriva- tive Secu- rity | f Date | Code | I | posed of(D Amount | A/ | Day/Ye Date Exer- cisa- ble | Expir ation Date | Titl | le and Shares | Number | rit |
|--------------------------------------|---------|--|----------------------------|--------------------------|----------------|---------------------------------------|----------------|---|--------------------------|----------------|------------------|----------------|------------------------|
| Employee Stock (right to buy) | Option | 11.3282 | | / M | | 12,204 | | | 2/17/ 03 | Common | Stock | 12,204 | |
| Employee Stock (right to buy) | Option | 9.3125 | | | | | | | 2/16/ 04 | Common | Stock | 2,440 | |
| Employee Stock (right to buy) | Option | 8.125 | | | | | | | 2/15/ 05 | Common | Stock | 4 , 920 | |
| Employee Stock (right to buy) | | 13.2032 | | | | | | | 6/19/ 06 | Common | Stock | 4,000 | |
| Employee Stock Option (right to buy) | Option | 26.2188 | | | | | | 6/19/ 98- | | Common | Stock | 6,000 | |
| | | | | | | | | 6/19/ 00 | | | | | |
| Employee Stock Option (right to buy) | | 34.1563 | | | | | | 6/18/ 99- | | Common | Stock | 8,000 | |
| | | | | | | | | 6/18/ 01 | | | | | |
| Employee Stock Option (right to buy) | | 40.5157 | | | | | | 6/17/ 00- | | Common | Stock | 8,886 | |
| | | | | | | | | 6/17/ 02 | | | | | |
| Employee Stock Option (right to buy) | 53.0469 | | | | | | 6/15/ 01- | | Common | Stock | 8,126 | | |
| | | | | | | | | 6/15/ 03 | | | | | |
| Employee Stock Option (right to buy) | Option | 39.565 | | | | | | 9/20/ 02- | | Common | Stock | 10,187 | |
| | | | | | | | | 9/20/ 04 | | | | | |
| Employee Stock (right to buy) | Option | 49.705 | | | | | | | 2/20/ 12 | Common | Stock | 4,464 | |
| | | | 1 | | | | | | | | | | |

Explanation of Responses:

⁽¹⁾ As of last plan statement. The plan accounts for interests in units of shares and a small amount of cash. As a result the number of underlying shares may fluctuate from time to time.

⁽²⁾ The units were acquired under State Street Corporation's 401(k) Restoration and Voluntary Deferral Plan and will be settled upon reporting person's retirement.

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SIGNATURE OF REPORTING PERSON /s/Frederick P. Baughman DATE 5/16/02