

STATE STREET CORP
Form 8-K
October 22, 2013

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 22, 2013

State Street Corporation
(Exact name of registrant as specified in its charter)

| | | |
|---|---------------------------------------|--|
| Massachusetts (State of Incorporation) | 001-07511 (Commission File Number) | 04-2456637 (IRS Employer Identification Number) |
|---|---------------------------------------|--|

| | |
|--|---------------------|
| One Lincoln Street Boston, Massachusetts (Address of principal executive office) | 02111 (Zip Code) |
|--|---------------------|

Registrant's telephone number, including area code: (617) 786-3000

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act

Item 2.02. Results of Operations and Financial Condition.

On October 22, 2013, State Street Corporation (“State Street” or the “Company”) issued a news release announcing its results of operations for the third quarter and first nine months of 2013. Copies of that news release and accompanying third-quarter 2013 financial information addendum are furnished herewith as Exhibits 99.1 and 99.2, respectively, and are incorporated herein by reference.

In addition, a slide presentation providing highlights of State Street's third-quarter and year-to-date 2013 results of operations and information pertaining to its investment portfolio as of September 30, 2013, which will be made available in connection with the investor conference call to be held by the Company on October 22, 2013, is furnished with this Form 8-K as Exhibit 99.3.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

State Street Corporation's news release dated October 22, 2013, announcing its third-quarter and year-to-date 2013 results of operations, and accompanying third-quarter 2013 financial information addendum, are furnished herewith as Exhibits 99.1 and 99.2, respectively; and a slide presentation providing highlights of State Street's third-quarter and year-to-date 2013 results of operations and information pertaining to its investment portfolio as of September 30, 2013, which will be made available in connection with the investor conference call referenced in the October 22, 2013 news release, is furnished herewith as Exhibit 99.3.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

STATE STREET CORPORATION

By: /s/ JAMES J. MALERBA
Name: James J. Malerba
Title: Executive Vice President,
Corporate Controller and Chief Accounting Officer

Date: October 22, 2013

EXHIBIT INDEX

| Exhibit No. | Description |
|-------------|--|
| 99.1 | State Street's news release dated October 22, 2013, announcing its third-quarter and year-to-date 2013 results of operations. |
| 99.2 | State Street's third-quarter 2013 financial information addendum. |
| 99.3 | Slide presentation providing highlights of State Street's third-quarter and year-to-date 2013 results of operations and information pertaining to its investment portfolio as of September 30, 2013. |