Edgar Filing: PROVIDENT FINANCIAL HOLDINGS INC - Form 4

PROVIDENT FINANCIAL HOLDINGS INC

Form 4

November 03, 2005

November 0	5, 2005										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
	UNITED S	STATES			ND EXC D.C. 205		GE C	OMMISSION	OMB	3235-0287	
Check th	is box		was	anngton,	D.C. 203	949			Number:	January 31,	
if no lon	~	ENT OF	CHAN	GES IN	ES IN BENEFICIAL OWNERSHIP OF				Expires:	2005	
subject to Section 1	U		. 011111	SECUR		V-1-1-1		,2218222	Estimated average burden hours per		
Form 4 c									response	s per 0.5	
Form 5	Filed purs	suant to S	Section 1	6(a) of th	e Securiti	es Exc	change	Act of 1934,			
obligatio may con		a) of the I	Public Ut	tility Holo	ding Com	pany A	Act of	1935 or Section	1		
See Instr		30(h)	of the In	vestment	Company	y Act	of 1940	0			
1(b).											
(Print or Type 1	Pasponsas)										
(Finit of Type I	Kesponses)										
1. Name and Address of Reporting Person [*] 2. Issuer Name and Ticker or Trading 5. Relationship of F									Reporting Person(s) to		
TAYLOR ROY Symbo						J		Issuer			
			PROVI	VIDENT FINANCIAL			(Check all applicable)				
			HOLDI	NGS INC	[PROV]			(Check	с ан аррисавіе)	
(Last)	(First) (M	(liddle)	3. Date of	Earliest Tr	ransaction			_X_ Director		Owner	
				onth/Day/Year)				Officer (give t below)	title Othe below)	r (specify	
3/56 CENT	TRAL AVENUE		11/01/2	005				,	,		
	(Street)		4. If Ame	ndment, Da	te Original			6. Individual or Joi	int/Group Filin	g(Check	
			Filed(Mor	th/Day/Year)			Applicable Line) _X_ Form filed by O	D		
DIMEDSID	E, CA 92506							Form filed by M			
KI V EKSID.	E, CA 92300							Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	Perivative S	Securiti	ies Acqı	iired, Disposed of,	or Beneficiall	y Owned	
1.Title of	2. Transaction Date	2A. Deem	ned	3.	4. Securiti	es Acqı	uired	5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)	Execution	Date, if		on(A) or Dis			Securities	Ownership	Indirect	
(Instr. 3) any (Month/Day/Year)			Code (Instr. 3, 4 and 5) (Instr. 8)				Beneficially Owned	Form: Direct (D) or	Beneficial Ownership		
		(141OIIII)D	aji i cai)	(111501.0)				Following	Indirect (I)	(Instr. 4)	
						(A)		Reported	(Instr. 4)		
						or		Transaction(s) (Instr. 3 and 4)			
_				Code V	Amount	(D)	Price	(

Security (Instr. 3)		(Month/Day/Year)	Execution Date, if any (Month/Day/Year)			isposed of (D) 4 and 5)		Securities Beneficially Owned Following	Ownership Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership (Instr. 4)
	Common			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
	Common Stock, Par Value \$0.01	11/01/2005		M	46,125	A	\$ 6.78	57.154 <u>(1)</u>	D	
	Common Stock, Par Value \$0.01	11/01/2005		S	1,156	D	\$ 26.07	55,998	D	
	Common Stock, Par value \$0.01	11/02/2005		S	3,068	D	\$ 26	52,930	D	

Edgar Filing: PROVIDENT FINANCIAL HOLDINGS INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Nı	umber of	6. Date Exercis	sable and	7. Title and A	Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative		Expiration Date		Underlying Securities		
Security	or Exercise		any	Code	Code Securities		(Month/Day/Year)		(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Acquired (A)						
	Derivative				or D	isposed of				
	Security				(D)					
					(Inst	r. 3, 4,				
					and 5	5)				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 6.78	11/01/2005		M		46,125	01/23/2002	01/23/2007	Common Stock	46,125

Reporting Owners

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
TAYLOR ROY									
3756 CENTRAL AVENUE	X								
RIVERSIDE, CA 92506									

Signatures

/s/Roy H. Taylor 11/02/2005

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The amount of securities beneficially owned includes the retricted common stock award under Provident's Management Recognition Plan. The award vests ratably over a five-year period.
- (2) Mr. Taylor has previously been granted options to acquire 65,125 shares of common stock under Provident's 1996 and 2003 Stock Option Plans. The grants vest ratably over a five-year period and expire in ten years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2