

Edgar Filing: BANCROFT FUND LTD - Form NSAR-B

BANCROFT FUND LTD

Form NSAR-B

December 29, 2016

PAGE 1

000 B000000 10/31/2016  
000 C000000 0000009521  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 Bancroft Fund Ltd.  
001 B000000 811-02151  
001 C000000 9149215100  
002 A000000 One Corporate Center  
002 B000000 Rye  
002 C000000 NY  
002 D010000 10580  
002 D020000 1422  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 GABELLI FUNDS, LLC  
008 B000001 A  
008 C000001 801-37706  
008 D010001 RYE  
008 D020001 NY  
008 D030001 10580  
008 D040001 1422  
010 A000001 GABELLI FUNDS, LLC  
010 B000001 801-37706  
010 C010001 RYE  
010 C020001 NY  
010 C030001 10580  
010 C040001 1422  
010 A000002 BNY MELLON INVESTMENT SERVICING (US) INC.  
010 B000002 84-01761  
010 C010002 WILMINGTON  
010 C020002 DE  
010 C030002 19809  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY  
012 B000001 84-00416  
012 C010001 NEW YORK  
012 C020001 NY  
012 C030001 10038  
013 A000001 TAIT, WELLER & BAKER, LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
013 B030001 19103

PAGE 2

013 B040001 3659  
014 A000001 G.research, LLC  
014 B000001 8-21373  
014 A000002 G.distributors, LLC  
014 B000002 8-68697  
015 A000001 STATE STREET BANK & TRUST COMPANY

Edgar Filing: BANCROFT FUND LTD - Form NSAR-B

015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02110  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 37  
019 C000000 GABELLIGRP  
020 A000001 CONVERGEX EXECUTION SOLUTIONS LLC  
020 B000001 13-3989198  
020 C000001 2  
020 A000002 J.P. MORGAN SECURITIES, INC.  
020 B000002 13-3379014  
020 C000002 1  
020 A000003 JEFFERIES LLC  
020 B000003 95-2622900  
020 C000003 1  
021 000000 5  
022 A000001 OPPENHEIMER & CO., INC.  
022 B000001 13-5657518  
022 C000001 10300  
022 D000001 14860  
022 A000002 BARCLAYS CAPITAL, INC.  
022 B000002 06-1031656  
022 C000002 9784  
022 D000002 4598  
022 A000003 JEFFERIES & COMPANY, INC.  
022 B000003 95-2622990  
022 C000003 6664  
022 D000003 6719  
022 A000004 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.  
022 B000004 13-5674085  
022 C000004 6169  
022 D000004 5484  
022 A000005 GOLDMAN, SACHS & CO.  
022 B000005 13-5108880  
022 C000005 8938  
022 D000005 1348  
022 A000006 SALOMON SMITH BARNEY  
022 B000006 11-2418191  
022 C000006 3768  
022 D000006 2578  
022 A000007 JPMORGAN SECURITIES LLC  
022 B000007 13-3379014  
PAGE 3  
022 C000007 5250  
022 D000007 423  
022 A000008 DEUTSCHE BANK SECURITIES, INC.  
022 B000008 13-2730828  
022 C000008 4949  
022 D000008 394  
022 A000009 NOMURA SECURITIES INTERNATIONAL, INC.  
022 B000009 13-2642206  
022 C000009 2942  
022 D000009 2176  
022 A000010 JPMORGAN CHASE & CO.  
022 B000010 13-2624428  
022 C000010 3221  
022 D000010 574  
023 C000000 76907  
023 D000000 52629

Edgar Filing: BANCROFT FUND LTD - Form NSAR-B

|     |         |                       |   |
|-----|---------|-----------------------|---|
| 024 | 000000  | Y                     |   |
| 025 | A000001 | BANK OF AMERICA CORP. |   |
| 025 | B000001 | 56-0906609            |   |
| 025 | C000001 | E                     |   |
| 025 | D000001 | 2462                  |   |
| 025 | D000002 | 0                     |   |
| 026 | A000000 | N                     |   |
| 026 | B000000 | Y                     |   |
| 026 | C000000 | N                     |   |
| 026 | D000000 | Y                     |   |
| 026 | E000000 | N                     |   |
| 026 | F000000 | Y                     |   |
| 026 | G010000 | N                     |   |
| 026 | G020000 | N                     |   |
| 026 | H000000 | N                     |   |
| 027 | 000000  | N                     |   |
| 028 | A010000 |                       | 0 |
| 028 | A020000 |                       | 0 |
| 028 | A030000 |                       | 0 |
| 028 | A040000 |                       | 0 |
| 028 | B010000 |                       | 0 |
| 028 | B020000 |                       | 0 |
| 028 | B030000 |                       | 0 |
| 028 | B040000 |                       | 0 |
| 028 | C010000 |                       | 0 |
| 028 | C020000 |                       | 0 |
| 028 | C030000 |                       | 0 |
| 028 | C040000 |                       | 0 |
| 028 | D010000 |                       | 0 |
| 028 | D020000 |                       | 0 |
| 028 | D030000 |                       | 0 |
| 028 | D040000 |                       | 0 |
| 028 | E010000 |                       | 0 |
| 028 | E020000 |                       | 0 |
| 028 | E030000 |                       | 0 |
|     | PAGE    | 4                     |   |
| 028 | E040000 |                       | 0 |
| 028 | F010000 |                       | 0 |
| 028 | F020000 |                       | 0 |
| 028 | F030000 |                       | 0 |
| 028 | F040000 |                       | 0 |
| 028 | G010000 |                       | 0 |
| 028 | G020000 |                       | 0 |
| 028 | G030000 |                       | 0 |
| 028 | G040000 |                       | 0 |
| 028 | H000000 |                       | 0 |
| 030 | A000000 |                       | 0 |
| 030 | B000000 | 0.00                  |   |
| 030 | C000000 | 0.00                  |   |
| 031 | A000000 |                       | 0 |
| 031 | B000000 |                       | 0 |
| 032 | 000000  |                       | 0 |
| 033 | 000000  |                       | 0 |
| 035 | 000000  |                       | 0 |
| 036 | B000000 |                       | 0 |
| 038 | 000000  |                       | 0 |
| 042 | A000000 |                       | 0 |
| 042 | B000000 |                       | 0 |
| 042 | C000000 |                       | 0 |
| 042 | D000000 |                       | 0 |
| 042 | E000000 |                       | 0 |
| 042 | F000000 |                       | 0 |

Edgar Filing: BANCROFT FUND LTD - Form NSAR-B

|     |         |        |
|-----|---------|--------|
| 042 | G000000 | 0      |
| 042 | H000000 | 0      |
| 043 | 000000  | 0      |
| 044 | 000000  | 0      |
| 045 | 000000  | Y      |
| 046 | 000000  | N      |
| 047 | 000000  | Y      |
| 048 | 000000  | 0.000  |
| 048 | A010000 | 100000 |
| 048 | A020000 | 0.800  |
| 048 | B010000 | 0      |
| 048 | B020000 | 0.000  |
| 048 | C010000 | 0      |
| 048 | C020000 | 0.000  |
| 048 | D010000 | 0      |
| 048 | D020000 | 0.000  |
| 048 | E010000 | 0      |
| 048 | E020000 | 0.000  |
| 048 | F010000 | 0      |
| 048 | F020000 | 0.000  |
| 048 | G010000 | 0      |
| 048 | G020000 | 0.000  |
| 048 | H010000 | 0      |
| 048 | H020000 | 0.000  |
| 048 | I010000 | 0      |
|     | PAGE    | 5      |
| 048 | I020000 | 0.000  |
| 048 | J010000 | 0      |
| 048 | J020000 | 0.000  |
| 048 | K010000 | 100000 |
| 048 | K020000 | 0.550  |
| 049 | 000000  | N      |
| 050 | 000000  | N      |
| 051 | 000000  | N      |
| 052 | 000000  | N      |
| 053 | A000000 | Y      |
| 053 | B000000 | Y      |
| 053 | C000000 | N      |
| 054 | A000000 | Y      |
| 054 | B000000 | Y      |
| 054 | C000000 | Y      |
| 054 | D000000 | N      |
| 054 | E000000 | N      |
| 054 | F000000 | N      |
| 054 | G000000 | N      |
| 054 | H000000 | Y      |
| 054 | I000000 | N      |
| 054 | J000000 | Y      |
| 054 | K000000 | N      |
| 054 | L000000 | N      |
| 054 | M000000 | Y      |
| 054 | N000000 | N      |
| 054 | O000000 | N      |
| 055 | A000000 | N      |
| 055 | B000000 | N      |
| 056 | 000000  | Y      |
| 057 | 000000  | N      |
| 058 | A000000 | N      |
| 059 | 000000  | Y      |
| 060 | A000000 | Y      |
| 060 | B000000 | Y      |
| 061 | 000000  | 0      |

Edgar Filing: BANCROFT FUND LTD - Form NSAR-B

062 A000000 N  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0

PAGE 6

062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 Y  
066 F000000 N  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 N  
070 E020000 N  
070 F010000 N  
070 F020000 N  
070 G010000 N  
070 G020000 N  
070 H010000 Y  
070 H020000 N  
070 I010000 N  
070 I020000 N  
070 J010000 Y  
070 J020000 Y  
070 K010000 Y  
070 K020000 N  
070 L010000 Y  
070 L020000 Y  
070 M010000 N  
070 M020000 N  
070 N010000 Y  
070 N020000 N  
070 O010000 Y  
070 O020000 N

Edgar Filing: BANCROFT FUND LTD - Form NSAR-B

070 P010000 Y  
070 P020000 N  
070 Q010000 N  
070 Q020000 N  
070 R010000 Y  
PAGE 7  
070 R020000 N  
071 A000000 79861  
071 B000000 58447  
071 C000000 116237  
071 D000000 50  
072 A000000 12  
072 B000000 1018  
072 C000000 2510  
072 D000000 0  
072 E000000 0  
072 F000000 909  
072 G000000 0  
072 H000000 45  
072 I000000 24  
072 J000000 11  
072 K000000 0  
072 L000000 65  
072 M000000 103  
072 N000000 0  
072 O000000 1  
072 P000000 0  
072 Q000000 45  
072 R000000 54  
072 S000000 5  
072 T000000 0  
072 U000000 0  
072 V000000 0  
072 W000000 37  
072 X000000 1299  
072 Y000000 2  
072 Z000000 2231  
072AA000000 4109  
072BB000000 0  
072CC010000 0  
072CC020000 1398  
072DD010000 4407  
072DD020000 0  
072EE000000 4732  
073 A010000 0.8400  
073 A020000 0.0000  
073 B000000 0.9100  
073 C000000 0.0000  
074 A000000 8525  
074 B000000 0  
074 C000000 465  
074 D000000 92738  
074 E000000 42031  
074 F000000 790  
074 G000000 0  
074 H000000 0  
074 I000000 0  
PAGE 8  
074 J000000 520  
074 K000000 0  
074 L000000 857  
074 M000000 0

Edgar Filing: BANCROFT FUND LTD - Form NSAR-B

|     |         |  |
|-----|---------|--|
| 074 | N000000 | 145926                                       |
| 074 | O000000 | 1500   |
| 074 | P000000 | 111  |
| 074 | Q000000 | 0  |
| 074 | R010000 | 0  |
| 074 | R020000 | 0  |
| 074 | R030000 | 0  |
| 074 | R040000 | 275  |
| 074 | S000000 | 30000  |
| 074 | T000000 | 114040                                       |
| 074 | U010000 | 5180   |
| 074 | U020000 | 0  |
| 074 | V010000 | 22.02  |
| 074 | V020000 | 0.00   |
| 074 | W000000 | 0.0000                                       |
| 074 | X000000 | 5618   |
| 074 | Y000000 | 0  |
| 075 | A000000 | 0  |
| 075 | B000000 | 120318                                       |
| 076 | 000000  | 0.00   |
| 077 | A000000 | Y  |
| 077 | B000000 | Y  |
| 077 | C000000 | N  |
| 077 | D000000 | N  |
| 077 | E000000 | N  |
| 077 | F000000 | N  |
| 077 | G000000 | N  |
| 077 | H000000 | N  |
| 077 | I000000 | Y  |
| 077 | J000000 | N  |
| 077 | K000000 | N  |
| 077 | L000000 | N  |
| 077 | M000000 | N  |
| 077 | N000000 | N  |
| 077 | O000000 | N  |
| 077 | P000000 | N  |
| 077 | Q010000 | N  |
| 077 | Q020000 | N  |
| 077 | Q030000 | N  |
| 078 | 000000  | N  |
| 080 | A000000 | TRAVELERS-ST. PAUL FIRE AND MARINE INSURANCE |
| 080 | B000000 | GREAT AMERICAN INSURANCE COMPANY             |
| 080 | C000000 | 29175  |
| 081 | A000000 | Y  |
| 081 | B000000 | 47   |
| 082 | A000000 | N  |
| 082 | B000000 | 0  |
|     | PAGE    | 9  |
| 083 | A000000 | N  |
| 083 | B000000 | 0  |
| 084 | A000000 | N  |
| 084 | B000000 | 0  |
| 085 | A000000 | Y  |
| 085 | B000000 | N  |
| 086 | A010000 | 141  |
| 086 | A020000 | 2516   |
| 086 | B010000 | 68   |
| 086 | B020000 | 1252   |
| 086 | C010000 | 0  |
| 086 | C020000 | 0  |
| 086 | D010000 | 0  |
| 086 | D020000 | 0  |

Edgar Filing: BANCROFT FUND LTD - Form NSAR-B

086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 059695106  
087 A030000 BCV  
087 B010000 5.375% SERIES A PREFERRED STOCK  
087 B020000 059702209  
087 B030000 BCV Pr A  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 Y  
SIGNATURE SCOTT R. FUCHS  
TITLE ASST. TREASURER