

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

FLAHERTY & CRUMRINE TOTAL RETURN FUND INC
Form NSAR-B
January 29, 2018

PAGE 1
000 B000000 11/30/2017
000 C000000 1245648
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FLAHERTY & CRUMRINE TOTAL RETURN FUND
001 B000000 811-21380
001 C000000 6267957300
002 A000000 301 E. COLORADO BOULEVARD, SUITE 720
002 B000000 PASADENA
002 C000000 CA
002 D010000 91101
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 FLAHERTY & CRUMRINE INCORPORATED
008 B000001 A
008 C000001 801-19384
008 D010001 PASADENA
008 D020001 CA
008 D030001 91101
010 A000001 THE BANK OF NEW YORK MELLON
010 B000001 84-1761
010 C010001 WESTBOROUGH
010 C020001 MA
010 C030001 01581
010 C040001 1722
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
012 B000001 84-1761
012 C010001 WESTBOROUGH
012 C020001 MA
012 C030001 01581
012 A000002 Destra Capital Investment LLC
012 B000002 84-68006
012 C010002 Chicago
012 C020002 IL
012 C030002 60606
013 A000001 KPMG LLP
013 B010001 BOSTON
013 B020001 MA
013 B030001 02110
015 A000001 THE BANK OF NEW YORK MELLON
015 B000001 C
PAGE 2
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10286
018 000000 Y
019 A000000 N
019 B000000 0

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021 000000 0
022 A000001 WELLS FARGO SECURITIES, LLC
022 B000001 56-2326000
022 C000001 7980
022 D000001 5741
022 A000002 JEFFERIES & COMPANY INC.
022 B000002 95-2622900
022 C000002 8695
022 D000002 753
022 A000003 MORGAN STANLEY
022 B000003 13-2655998
022 C000003 9318
022 D000003 0
022 A000004 GOLDMAN SACHS & CO.
022 B000004 13-5108880
022 C000004 8580
022 D000004 0
022 A000005 JPMORGAN SECURITIES
022 B000005 13-3224016
022 C000005 7513
022 D000005 0
022 A000006 CANTOR FINITZGERALD
022 B000006 13-2698893
022 C000006 1996
022 D000006 3870
022 A000007 DEUTSCHE BANK SECURITIES
022 B000007 13-2730828
022 C000007 5526
022 D000007 336
022 A000008 CABRERA CAPITAL MARKETS
022 B000008 01-0892965
022 C000008 2500
022 D000008 2362
022 A000009 MERRILL LYNCH
022 B000009 13-2511846
022 C000009 4498
022 D000009 0
022 A000010 CITIGROUP INC.
022 B000010 52-1568099
022 C000010 3555
022 D000010 521
023 C000000 74410
023 D000000 15682
024 000000 Y
025 A000001 JPMORGAN CHASE & CO.
PAGE 3
025 B000001 13-3224016
025 C000001 E
025 D000001 15044
025 A000002 WELLS FARGO & COMPANY
025 B000002 56-2326000
025 C000002 E
025 D000002 13106
025 A000003 CITIGROUP INC.
025 B000003 52-1568099
025 C000003 E
025 D000003 11227
025 A000004 MORGAN STANLEY
025 B000004 13-2655998
025 C000004 E
025 D000004 9980
025 A000005 BANK OF AMERICA

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| | | | |
|-----|---------|---------------------|---|
| 025 | B000005 | 13-4078651 | |
| 025 | C000005 | E | |
| 025 | D000005 | 7755 | |
| 025 | A000006 | GOLDMAN SACHS GROUP | |
| 025 | B000006 | 13-5108880 | |
| 025 | C000006 | E | |
| 025 | D000006 | 4822 | |
| 026 | A000000 | N | |
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| 026 | C000000 | N | |
| 026 | D000000 | Y | |
| 026 | E000000 | N | |
| 026 | F000000 | N | |
| 026 | G010000 | N | |
| 026 | G020000 | N | |
| 026 | H000000 | N | |
| 027 | 000000 | N | |
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| 028 | A020000 | | 0 |
| 028 | A030000 | | 0 |
| 028 | A040000 | | 0 |
| 028 | B010000 | | 0 |
| 028 | B020000 | | 0 |
| 028 | B030000 | | 0 |
| 028 | B040000 | | 0 |
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| 028 | C020000 | | 0 |
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| 028 | D040000 | | 0 |
| 028 | E010000 | | 0 |
| 028 | E020000 | | 0 |
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| 028 | E030000 | | 0 |
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| 028 | F010000 | | 0 |
| 028 | F020000 | | 0 |
| 028 | F030000 | | 0 |
| 028 | F040000 | | 0 |
| 028 | G010000 | | 0 |
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| 028 | G030000 | | 0 |
| 028 | G040000 | | 0 |
| 028 | H000000 | | 0 |
| 030 | A000000 | | 0 |
| 030 | B000000 | 0.00 | |
| 030 | C000000 | 0.00 | |
| 031 | A000000 | | 0 |
| 031 | B000000 | | 0 |
| 032 | 000000 | | 0 |
| 033 | 000000 | | 0 |
| 035 | 000000 | | 0 |
| 036 | B000000 | | 0 |
| 038 | 000000 | | 0 |
| 042 | A000000 | | 0 |
| 042 | B000000 | | 0 |
| 042 | C000000 | | 0 |
| 042 | D000000 | | 0 |
| 042 | E000000 | | 0 |

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| | | |
|-----|---------|--------|
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |
| 042 | H000000 | 0 |
| 043 | 000000 | 0 |
| 044 | 000000 | 0 |
| 045 | 000000 | Y |
| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 0.000 |
| 048 | A010000 | 200000 |
| 048 | A020000 | 0.575 |
| 048 | B010000 | 300000 |
| 048 | B020000 | 0.500 |
| 048 | C010000 | 0 |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0 |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| | PAGE | 5 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 500000 |
| 048 | K020000 | 0.450 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | Y |
| 054 | B000000 | Y |
| 054 | C000000 | N |
| 054 | D000000 | N |
| 054 | E000000 | N |
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| 054 | J000000 | Y |
| 054 | K000000 | N |
| 054 | L000000 | N |
| 054 | M000000 | Y |
| 054 | N000000 | N |
| 054 | O000000 | Y |
| 055 | A000000 | N |
| 055 | B000000 | Y |
| 056 | 000000 | Y |
| 057 | 000000 | N |
| 058 | A000000 | N |
| 059 | 000000 | Y |
| 060 | A000000 | Y |
| 060 | B000000 | Y |
| 061 | 000000 | 0 |
| 062 | A000000 | N |

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062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0

PAGE 6

062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 Y
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 N
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070 J010000 Y
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070 K020000 Y
070 L010000 Y
070 L020000 Y
070 M010000 Y
070 M020000 N
070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 Y
070 P010000 N

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070 P020000 N
 070 Q010000 N
 070 Q020000 N
 070 R010000 Y
 070 R020000 N
 PAGE 7
 071 A000000 74410
 071 B000000 66140
 071 C000000 308490
 071 D000000 21
 072 A000000 12
 072 B000000 9627
 072 C000000 9378
 072 D000000 0
 072 E000000 0
 072 F000000 1731
 072 G000000 251
 072 H000000 0
 072 I000000 189
 072 J000000 30
 072 K000000 0
 072 L000000 72
 072 M000000 55
 072 N000000 0
 072 O000000 0
 072 P000000 2131
 072 Q000000 0
 072 R000000 57
 072 S000000 62
 072 T000000 0
 072 U000000 0
 072 V000000 0
 072 W000000 216
 072 X000000 4794
 072 Y000000 0
 072 Z000000 14211
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 072DD020000 0
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 073 A020000 0.0000
 073 B000000 0.0000
 073 C000000 0.0000
 074 A000000 0
 074 B000000 0
 074 C000000 0
 074 D000000 16031
 074 E000000 303270
 074 F000000 673
 074 G000000 0
 074 H000000 0
 074 I000000 1522
 074 J000000 186
 PAGE 8
 074 K000000 0
 074 L000000 3305
 074 M000000 23
 074 N000000 325010

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| | | |
|-----|---------|-----------------------------------|
| 074 | O000000 | 750 |
| 074 | P000000 | 145 |
| 074 | Q000000 | 107900 |
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 0 |
| 074 | R040000 | 229 |
| 074 | S000000 | 0 |
| 074 | T000000 | 215986 |
| 074 | U010000 | 9958 |
| 074 | U020000 | 0 |
| 074 | V010000 | 21.69 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 13 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 211183 |
| 076 | 000000 | 21.33 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | C000000 | N |
| 077 | D000000 | N |
| 077 | E000000 | N |
| 077 | F000000 | N |
| 077 | G000000 | N |
| 077 | H000000 | N |
| 077 | I000000 | N |
| 077 | J000000 | N |
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| 077 | M000000 | N |
| 077 | N000000 | N |
| 077 | O000000 | N |
| 077 | P000000 | N |
| 077 | Q010000 | N |
| 077 | Q020000 | N |
| 077 | Q030000 | N |
| 078 | 000000 | N |
| 080 | A000000 | Federal Insurance Company (Chubb) |
| 080 | B000000 | NA |
| 080 | C000000 | 750 |
| 081 | A000000 | N |
| 081 | B000000 | 0 |
| 082 | A000000 | N |
| 082 | B000000 | 0 |
| 083 | A000000 | N |
| | PAGE | 9 |
| 083 | B000000 | 0 |
| 084 | A000000 | N |
| 084 | B000000 | 0 |
| 085 | A000000 | Y |
| 085 | B000000 | N |
| 086 | A010000 | 22 |
| 086 | A020000 | 460 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |

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086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 COMMON STOCK
087 A020000 338479108
087 A030000 FLC
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE BRADFORD S. STONE
TITLE CHIEF FINANCIAL