Edgar Filing: ABERDEEN EMERGING MARKETS SMALLER Co OPPORTUNITIES FUND, INC. - Form 4

ABERDEEN EMERGING MARKETS SMALLER Co OPPORTUNITIES FUND, INC.

Form 4 April 09, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

burden hours per

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

OMB APPROVAL

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

1(b).

Common

Stock

04/08/2015

(Print or Type Responses)

1. Name and Goodson A	Address of Reporting Alan R	Person * 2. Issu Symbol	er Name an		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
		MARI	KETS SM	MERGING ALLER Co					
	OPPO [ETF]	RTUNITI	ES FUND, INC.	Director _X_ Officer (give below)					
(Last)	(First) (I	,	of Earliest T Day/Year)		Vice President				
1735 MAR FLOOR	KET STREET, 32	`	•						
	(Street)	4. If Am	nendment, D	Oate Original	6. Individual or Joint/Group Filing(Check				
		Filed(M	onth/Day/Yea		Applicable Line) _X_ Form filed by C	One Reporting Pe	rson		
PHILADE	LPHIA, PA 19103	,			Form filed by M Person	fore than One Re	porting		
(City)	(State)	(Zip) Tal	ble I - Non-	Derivative Securities Acqu	iired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code	4. Securities Acquired (A) oner Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership		
					Following Reported	or Indirect (I)	(Instr. 4)		

Code V Amount

1,016

S

(A)

or

(D)

D

Price

13.0973

\$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Transaction(s)

(Instr. 3 and 4)

0

(Instr. 4)

D

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amoun	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ies	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A manuat		
									Amount		
						Date	Expiration		Or Number		
						Exercisable	Date		Number		
				C + V	(A) (D)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships

10% Owner Officer Other Director

Goodson Alan R 1735 MARKET STREET 32ND FLOOR

Vice President

PHILADELPHIA, PA 19103

Signatures

Lucia Sitar as Attorney-in-Fact for Alan Goodson

04/09/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Remarks:

On April 8, 2015, Mr. Goodson sold 1016 shares of the issuer at a price of \$13.0973 per share. 1000 of these shares were purc Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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