Edgar Filing: BANK OF NEW YORK CO INC - Form 4

BANK OF NEW YORK CO INC Form 4 December 11, 2006 FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

Form 5

1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> HASSELL GERALD L			2. Issuer Name and Ticker or Trading Symbol BANK OF NEW YORK CO INC [BK]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
BANK OF	(First) IK OF NEW YOF F NEW YORK, O TREETONE WAL	(M RKTHE 12 NE	3. Date of Earliest Transaction [Month/Day/Year] 12/08/2006	X Director 10% Owner X Officer (give title Other (specify below) below) President			
NEW YO	(Street) RK, NY 10286	4. Fil	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Active	Person cauired. Disposed of.	or Beneficially Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any (Month/Day/Y	3.4. Securities Acquiredate, ifTransaction(A) or Disposed of (D)Code(Instr. 3, 4 and 5)	5. Amount of 6. Securities Ow Beneficially For Owned Dire Following or I Reported (I)	7. Nature of nership Indirect Beneficial		
Stock (Par Value \$7.50)				674,126.99 D			
Common Stock (Par Value				29,672 <u>(2)</u> I	by GRAT		

OMB APPROVAL

3235-0287

January 31,

2005

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Number:

Expires:

response...

Estimated average

burden hours per

\$7.50)								
Common Stock (Par Value \$7.50)						60,000 <u>(3)</u>	I	Held by Spouse-Agnes
Common Stock (Par Value \$7.50)	12/08/2006	S	7,500	D	\$ 39.11	21,427	I	Held by family trust
Common Stock (Par Value \$7.50)	12/08/2006	S	650	D	\$ 39.12	20,777	Ι	Held by family trust
Common Stock (Par Value \$7.50)	12/08/2006	S	850	D	\$ 39.13	19,927 <u>(4)</u>	I	Held by family trust
Common Stock (Par Value \$7.50)	12/08/2006	S	650	D	\$ 39.12	28,277	Ι	Held by second family trust
Common Stock (Par Value \$7.50)	12/08/2006	S	7,500	D	\$ 39.11	20,777	I	Held by second family trust
Common Stock (Par Value \$7.50)	12/08/2006	S	850	D	\$ 39.13	19,927 <u>(4)</u>	I	Held by second family trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	ate	7. Title Amoun Underl Securit (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date		Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
HASSELL GERALD L THE BANK OF NEW YORKTHE BANK OF NEW YORK ONE WALL STREETONE WALL STREET NEW YORK, NY 10286	х		President		
Signaturos					

Signatures

Gerald L. 12/11/2006 Hassell

**Signature

Date

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As of November 30, 2006, reporting person held indirectly 234,577.149 stock units in employer's stock fund in The Bank of New Yor k Company, Inc. Employee Savings and Investment Plan, a 401(k) Plan, formerly the Profit Sharing Plan.
- (2) These shares were previously reported as directly beneficially owned but were contributed to a grantor retained annuity trust on May 27, 2004.
- (3) Reporting person disclaims beneficial ownership of these securities.
- (4) Contribution of shares to a family trust. Reporting person has the right to reacquire the shares by substituting other property of equal value.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.